

## Introduction to CFT

Aims: Principles of CFT, illustrated by free boson, free fermion and Ising model.

These CFTs are related - bosonisation lets us compute physical quantities (correlation functions) exactly!

### 1. Conformal transformations in 2 dimensions

Conf. trans. preserve angles. eg. in  $\mathbb{R}^n$ , translations + rotations. (Mink - boosts are conformal as well).  $\therefore$  Lorentz (Poincaré) trans. are

conformal. But, conf. is bigger: dilations (rescalings).

Scale inv. observed in ~~2nd~~ 2<sup>nd</sup> order phase transitions.

Moral: Conf inv. is much more special than Lorentz inv.

Consider  $\mathbb{R}^2$  equipped with metric  $g_{\mu\nu}$ , so that ~~the~~ length squared of  $x = x^\mu$  is

$$x \cdot x = x^\mu g_{\mu\nu} x^\nu.$$

Define angle between  $x$  and  $y$  by  $\cos \theta = \frac{x^\mu g_{\mu\nu} y^\nu}{(x \cdot x)^{1/2} (y \cdot y)^{1/2}}$

when  $x \cdot x, y \cdot y \neq 0$ .

Recall:  $x_\mu = g_{\mu\nu} x^\nu$ ,  $\partial_\mu \equiv \frac{\partial}{\partial x^\mu}$ ,  $\partial^\mu = g^{\mu\nu} \partial_\nu$ ,

$$g^{\mu\nu} g_{\nu\rho} = \delta^\mu_\rho \text{ etc...}$$

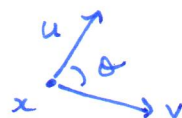
Def: A conf. trans.  $x^\mu \rightarrow x'^\mu$  is one satisfying

$$g'_{\mu\nu}(x') = \lambda(x) g_{\mu\nu}(x),$$

where  $\lambda(x) > 0$  is a scalar.

Thus, the angle  $\theta$  between  $u$  and  $v$  is preserved:

$$\begin{aligned} \cos \theta' &= \frac{u^\mu g'_{\mu\nu}(x') v^\nu}{(u^\mu g'_{\mu\nu}(x') u^\nu)^{1/2} (v^\mu g'_{\mu\nu}(x') v^\nu)^{1/2}} \\ &= \frac{u^\mu \lambda(x) g_{\mu\nu}(x) v^\nu}{(u^\mu \lambda(x) g_{\mu\nu}(x) u^\nu)^{1/2} (v^\mu \lambda(x) g_{\mu\nu}(x) v^\nu)^{1/2}} \\ &= \cos \theta. \end{aligned}$$



Note:  $\lambda(x) > 0$  so we can take the square root!

Consider now an infinitesimal trans.  $x^\mu \rightarrow x'^\mu = x^\mu + \epsilon^\mu(x)$ .

Expand  $\lambda(x) = 1 - \Omega(x)$ , where  $\Omega(x)$  is infinitesimal.

Since  $ds^2 = g'_{\mu\nu} dx'^\mu dx'^\nu = g_{\mu\nu} dx^\mu dx^\nu$ , we have

$$\begin{aligned} g'_{\mu\nu} dx'^\mu dx'^\nu &= g'_{\mu\nu} (dx^\mu + \partial_\rho \epsilon^\mu dx^\rho) (dx^\nu + \partial_\sigma \epsilon^\nu dx^\sigma) \\ &= g'_{\mu\nu} dx^\mu dx^\nu + \underbrace{\partial_\rho \epsilon^\mu}_{\epsilon_\nu} g'_{\mu\nu} dx^\rho dx^\nu + \partial_\sigma \underbrace{\epsilon^\nu}_{\epsilon_\mu} g'_{\mu\nu} dx^\mu dx^\sigma \\ &= (g'_{\mu\nu} + \partial_\mu \epsilon_\nu + \partial_\nu \epsilon_\mu) dx^\mu dx^\nu \end{aligned}$$

$$\Rightarrow g_{\mu\nu} = g'_{\mu\nu} + \partial_\mu \epsilon_\nu + \partial_\nu \epsilon_\mu.$$

This is for all infinitesimal transformations. For infinitesimal conformal trans.,

$$g'_{\mu\nu} = \lambda g_{\mu\nu} = g_{\mu\nu} - \Omega g_{\mu\nu}$$

$\Rightarrow$

$$\partial_\mu \varepsilon_\nu + \partial_\nu \varepsilon_\mu = \Omega g_{\mu\nu}$$

x by  $g^{\nu\mu}$  gives

$$\partial_\mu \varepsilon^\mu + \partial_\nu \varepsilon^\nu = \Omega g^\mu_\mu = ~~1~~ 2\Omega \quad (\text{dim.} = 2)$$

$\Rightarrow$

$$\boxed{\Omega = \partial \cdot \varepsilon}$$

$$\begin{aligned} \therefore \partial_\mu \varepsilon_\nu + \partial_\nu \varepsilon_\mu &= \partial_\rho \varepsilon^\rho g_{\mu\nu} \\ &= g_{\mu\nu} g^{\rho\sigma} \partial_\rho \varepsilon_\sigma. \end{aligned}$$

Take  $\mathbb{R}^2$  with euclidean metric  $g_{\mu\nu} = \delta_{\mu\nu} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  :

$$\mu = \nu = 1 : 2\partial_1 \varepsilon_1 = \partial_1 \varepsilon_1 + \partial_2 \varepsilon_2$$

$$\mu = 1, \nu = 2 : \partial_1 \varepsilon_2 + \partial_2 \varepsilon_1 = 0$$

$$\mu = \nu = 2 : 2\partial_2 \varepsilon_2 = \partial_1 \varepsilon_1 + \partial_2 \varepsilon_2$$

$$\mu = 2, \nu = 1 : \partial_2 \varepsilon_1 + \partial_1 \varepsilon_2 = 0$$

$$\therefore \partial_1 \varepsilon_1 = \partial_2 \varepsilon_2 \quad \underline{\text{AND}} \quad \partial_1 \varepsilon_2 = -\partial_2 \varepsilon_1.$$

[Cauchy-Riemann equations from complex analysis!]

Change to complex coords ( $\mathbb{R}^2 \cong \mathbb{C}$ ) :  $z = x^1 + ix^2 \quad \varepsilon = \varepsilon' + i\varepsilon''$   
 $\bar{z} = x^1 - ix^2 \quad \bar{\varepsilon} = \varepsilon' - i\varepsilon''$

$$\Rightarrow \quad \bar{\partial} \varepsilon = 0 \quad \underline{\text{AND}} \quad \partial \bar{\varepsilon} = 0 \quad \begin{aligned} \partial &\equiv \partial_z = \frac{1}{2}(\partial_1 - i\partial_2) \\ \bar{\partial} &\equiv \partial_{\bar{z}} = \frac{1}{2}(\partial_1 + i\partial_2) \end{aligned}$$

$$\text{ie } \varepsilon = \varepsilon(z)$$

$$\bar{\varepsilon} = \bar{\varepsilon}(\bar{z})$$

$\varepsilon$  holomorphic,  $\bar{\varepsilon}$  antiholomorphic.

$\therefore$  An inf. conf. transformation of euclidean  $\mathbb{R}^2 = \mathbb{C}$  has form

$$z' = z + \varepsilon(z), \quad \bar{z}' = \bar{z} + \bar{\varepsilon}(\bar{z})$$

where  $\varepsilon, \bar{\varepsilon}$  are independent hol, ~~anti~~ antihol. functions.



Generators of inf. conf. trans: let  $\phi$  be a function.

$$\phi(x') = \phi(x + \epsilon) = \phi(x) + \underbrace{\epsilon^\mu \partial_\mu \phi(x)}_{\text{generator!}} \quad (\text{Taylor})$$

A basis for the generators of the inf. conf. trans. is

$$\{l_n = -z^{n+1} \partial, \bar{l}_n = -\bar{z}^{n+1} \bar{\partial} : n \in \mathbb{Z}\}.$$

These generators form a Lie algebra. eg,

$$\begin{aligned} [l_m, l_n] &= -z^{m+1} \partial (-z^{n+1} \partial) + z^{n+1} \partial (-z^{m+1} \partial) \\ &= (n+1) z^{m+n+1} \partial + z^{m+n+2} \partial^2 - (m+1) z^{m+n+1} \partial - z^{m+n+2} \partial^2 \\ &= (n-m) z^{m+n+1} \partial \\ &= (m-n) l_{m+n}. \end{aligned}$$

$$\text{Also, } [l_m, \bar{l}_n] = 0 \quad \text{and} \quad [\bar{l}_m, \bar{l}_n] = (m-n) \bar{l}_{m+n}.$$

This Lie algebra is two commuting copies of the Witt algebra.

It is the Lie algebra of (classical) inf. conf. trans.

|             |                                   |                                |                                  |
|-------------|-----------------------------------|--------------------------------|----------------------------------|
| <u>Def:</u> | $P_1 = -(l_1 + \bar{l}_{-1})$     | $D = -(l_0 + \bar{l}_0)$       | $K_1 = -(l_1 - \bar{l}_{-1})$    |
|             | $P_2 = -i(l_{-1} - \bar{l}_{-1})$ | $M = -i(l_0 - \bar{l}_0)$      | $K_2 = -i(l_1 + \bar{l}_1)$      |
|             | inf. translations                 | inf. dilation<br>inf. rotation | inf. special<br>conformal trans. |

eg.  $P_1 = \partial + \bar{\partial} = \partial$ , and the inf. translation  $x'^1 = x^1 + \epsilon^1, x'^2 = x^2$  indeed has generator  $\propto P_1$  for  $\epsilon^1 = 1$ :

$$\begin{aligned} \phi(x'^1, x'^2) &= \phi(x^1 + \epsilon^1, x^2) = \phi(x^1, x^2) + \epsilon^1 \partial_1 \phi(x^1, x^2) \\ &= (1 + \epsilon^1 P_1) \phi(x^1, x^2). \end{aligned}$$



The span of the  $\tilde{L}_n$  and  $L_n$  with  $n = -1, 0, +1$  are called global conf. trans. because they integrate to give genuine conf. trans. (not inf.)

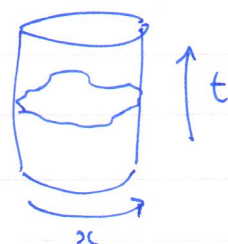
The  $L_n$  and  $\tilde{L}_n$  for general  $n$  are called local conf. trans.

## 2. The Free Boson

Let  $\varphi$  be a scalar bosonic field on a cylinder:

$$\varphi(t, x) = \varphi(t, x+L).$$

The cylinder has lorentzian metric  $\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = g_{\mu\nu}$   
Describes a closed bosonic string!



Action: 
$$S[\varphi] = \frac{1}{2g} \int_{S^1 \times \mathbb{R}} \underbrace{\partial_\mu \varphi \partial^\mu \varphi}_{\substack{\text{coupling constant} \quad \partial_\mu \varphi g^{\mu\nu} \partial_\nu \varphi = -(\partial_t \varphi)^2 + (\partial_x \varphi)^2}} dx dt$$

$\mu \in \{t, x\}$ .

No mass term, no spin coupling, no interactions.

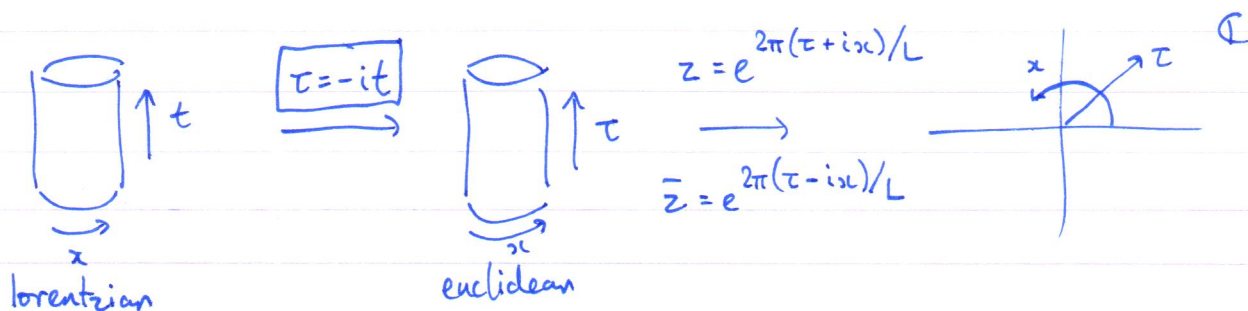
This is a free, massless, spinless bosonic string!

Equations of Motion:  $\varphi' = \varphi + \eta$  ( $\eta$  infinitesimal)

$$S[\varphi'] - S[\varphi] = \frac{1}{g} \int \partial_\mu \eta \partial^\mu \varphi dx dt = -\frac{1}{g} \int \eta \partial_\mu \partial^\mu \varphi dx dt$$

$\therefore$  Euler-Lagrange eqns are  $\partial_\mu \partial^\mu \varphi = 0$ , i.e.  $\boxed{\partial_t^2 \varphi = \partial_x^2 \varphi}$

Conformal invariance? Switch to complex coords. & Wick rotate:



$$\Rightarrow S[\varphi] = \frac{1}{g} \int_{\mathbb{C}} \partial \varphi \bar{\partial} \varphi \, dz d\bar{z}.$$

EOM become  $\partial \bar{\partial} \varphi = 0$ , i.e.  $\partial \varphi = \partial \varphi(z)$ ,  $\bar{\partial} \varphi = \bar{\partial} \varphi(\bar{z})$ .  
 hol! antihol!

Classical Conformal Invariance: The action will not change under  
 $z \rightarrow z' = z + \varepsilon(z)$ ,  $\bar{z} \rightarrow \bar{z}' = \bar{z} + \bar{\varepsilon}(\bar{z})$ .

Boson is spinless:  $\varphi'(z', \bar{z}') = \varphi(z, \bar{z})$  [scalar field].

If  $z' = z + \varepsilon(z)$ ,  $\partial = (1 + \partial \varepsilon) \partial'$  and  $dz' = (1 + \partial \varepsilon) dz$

$$\begin{aligned} \Rightarrow S'[\varphi'] &= \frac{1}{g} \int_{\mathbb{C}} \frac{\partial \varphi'(z', \bar{z}') \bar{\partial} \varphi'(z', \bar{z}')}{\partial \varphi'(z', \bar{z}') \bar{\partial} \varphi'(z', \bar{z}')} dz' d\bar{z}' \\ &= \frac{1}{g} \int_{\mathbb{C}} (1 + \partial \varepsilon) \partial \varphi(z, \bar{z}) \bar{\partial} \varphi(z, \bar{z}) \cdot (1 + \partial \varepsilon) dz d\bar{z} \\ &= \frac{1}{g} \int_{\mathbb{C}} \partial \varphi(z, \bar{z}) \bar{\partial} \varphi(z, \bar{z}) dz d\bar{z} \\ &= S[\varphi]. \end{aligned}$$

Action is invariant under ~~can~~ conf. trans.  $\Rightarrow$  classical conf. inv.

Not inv. under general trans., but these characterise the ~~stress-energy-momentum~~ stress-energy tensor  $T^{\mu\nu}$ :

$$x'^{\mu} = x^{\mu} + \eta^{\mu} \Rightarrow S' - S = \int T^{\mu\nu} \partial_{\mu} \eta_{\nu} \, dx dt.$$

We take  $z' = z + \eta(z, \bar{z})$ ,  $\bar{z}' = \bar{z} + \bar{\eta}(z, \bar{z})$  and compute that

$$S' - S = \int \left[ -\frac{1}{g} \partial \varphi \partial \varphi \bar{\partial} \eta - \frac{1}{g} \bar{\partial} \varphi \bar{\partial} \varphi \partial \bar{\eta} \right] dz d\bar{z}$$

$$\text{i.e. } T^{zz} = 0, \quad T^{\bar{z}\bar{z}} = -\frac{1}{g} \underbrace{\partial \varphi \partial \varphi}_{\text{hol.}}, \quad T^{z\bar{z}} = -\frac{1}{g} \underbrace{\bar{\partial} \varphi \bar{\partial} \varphi}_{\text{antihol.}}, \quad T^{\bar{z}z} = 0.$$

Renormalise: Define  $T(z) = \frac{1}{2} \partial \varphi(z) \partial \varphi(z)$ ,  $\bar{T}(\bar{z}) = \frac{1}{2} \bar{\partial} \varphi(\bar{z}) \bar{\partial} \varphi(\bar{z})$ .

This is the (scaled) ~~EM~~ tensor.  
 Stress-energy

- Canonical Quantisation:
- 1) Determine "degrees of freedom".
  - 2) Compute conjugate momenta.
  - 3) Impose canonical commutation rules (equal-time)

1) Fourier decomposition (since  $\psi(t, x) = \psi(t, x+L)$ ):

$$\psi(t, x) = \sum_{n \in \mathbb{Z}} \underbrace{\varphi_n(t)}_{\text{(degrees of freedom)}} e^{2\pi i n x / L}.$$

$$\Rightarrow S = \frac{1}{2g} \int_{-\infty}^{\infty} \int_0^L \left[ -(\partial_t \psi)^2 + (\partial_x \psi)^2 \right] dx dt$$

$$= -\frac{L}{2g} \int_{-\infty}^{\infty} \sum_{m \in \mathbb{Z}} \left[ \dot{\varphi}_m(t) \dot{\varphi}_{-m}(t) - \frac{4\pi^2 m^2}{L^2} \varphi_m(t) \varphi_{-m}(t) \right] dt.$$

2) Conjugate momentum to  $\varphi_n(t)$  is  $\pi_n(t) = \frac{\partial \mathcal{L}}{\partial \dot{\varphi}_n(t)}$ , i.e.

$$\pi_n(t) = -\frac{L}{g} \dot{\varphi}_{-n}(t).$$

3) We promote both  $\varphi_n(t)$  and  $\pi_n(t)$  to operators with commutation relations ( $\hbar=1$ ):

$$\boxed{[\varphi_m(t), \varphi_n(t)] = [\pi_m(t), \pi_n(t)] = 0, \quad [\varphi_m(t), \pi_n(t)] = i \delta_{m,n}.}$$

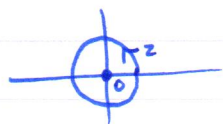
$$\text{Thus, } [\varphi_m(t), \dot{\varphi}_n(t)] = \frac{-ig}{L} \delta_{m+n,0}.$$

Now change back to complex coords:  $\partial \psi(z) = \sum_{n \in \mathbb{Z}} a_n z^{-n-1}$ ,

$$\bar{\partial} \psi(\bar{z}) = \sum_{n \in \mathbb{Z}} \bar{a}_n \bar{z}^{-n-1}.$$

The  $a_n$  and  $\bar{a}_n$  are the degrees of freedom in these coords. Thus, they become operators in the quantum theory.

Note: Cauchy's theorem from complex analysis lets us write

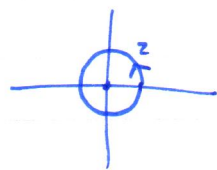


$$a_n = \oint_0 \partial \psi(z) z^n \frac{dz}{2\pi i} \quad (\text{any anticlockwise contour around } 0)$$



Cauchy's theorem: If  $f(z) = \sum_{n \in \mathbb{Z}} f_n z^{-n-1}$ , then

$$\oint_0 f(z) z^n \frac{dz}{2\pi i} = f_n. \quad (n \in \mathbb{Z})$$



Proof: The contour is any (simple) curve encircling the origin, so we may take it to be a circle of radius 1. We parametrise  $z = e^{2\pi i \theta}$ ,  $\theta \in [0, 1)$ , so  $dz = 2\pi i e^{2\pi i \theta} d\theta = 2\pi i z d\theta$ .

$$\begin{aligned} \text{We compute } \oint_0 \cancel{f(z)} z^m \frac{dz}{2\pi i} &= \int_0^1 e^{2\pi i m \theta} \cdot z d\theta \\ \text{, for } m \in \mathbb{Z}, & \\ &= \int_0^1 e^{2\pi i (m+1)\theta} d\theta \\ &= \left[ \frac{e^{2\pi i (m+1)\theta}}{2\pi i (m+1)} \right]_0^1 \quad (m \neq -1) \\ &= \frac{1}{2\pi i (m+1)} (e^{2\pi i (m+1)} - 1) \\ &= 0 \quad \text{since } m \in \mathbb{Z}. \end{aligned}$$

If  $m = -1$ , we get  $\oint_0 z^{-1} \frac{dz}{2\pi i} = \int_0^1 1 d\theta = 1$  instead.

$$\therefore \oint_0 z^m \frac{dz}{2\pi i} = \delta_{m, -1}.$$

$$\text{Now, } \oint_0 f(z) z^n \frac{dz}{2\pi i} = \sum_{m \in \mathbb{Z}} f_m \oint_0 z^{n-m-1} \frac{dz}{2\pi i} = \sum_{m \in \mathbb{Z}} f_m \delta_{n, m} = f_n. \quad \blacksquare$$

Generalisation: We may translate the contour to any other point  $w \in \mathbb{C}$ :



$$f(z) = \sum_{n \in \mathbb{Z}} f_n (z-w)^{-n-1} \Rightarrow \oint_w f(z) (z-w)^n \frac{dz}{2\pi i} = f_n. \quad (n \in \mathbb{Z}).$$

Alternatively:  $\oint_w \frac{f(z)}{(z-w)^{n+1}} \frac{dz}{2\pi i} = \frac{1}{n!} \partial^n f(w), \quad n \in \mathbb{Z}.$

Take the contour to be a circle of fixed radius. Since  $z = e^{2\pi(\tau+ix)/L}$ , this circle is constant in time! Thus, ~~at~~

$$a_n = \oint_0 \partial\psi(z) z^n \frac{dz}{2\pi i} = \frac{1}{4\pi i} \int_0^L (\partial_x \psi - \partial_t \psi) e^{2\pi i n(x-t)/L} dx$$

$$\left\{ \begin{array}{l} \text{using } \partial = \frac{L}{4\pi i} z^{-1} (\partial_x - \partial_t) \text{ and } dz = \frac{2\pi i}{L} z dx. \\ = -\left(\frac{n}{2} \psi_{-n}(t) + \frac{L}{4\pi i} \dot{\psi}_{-n}(t)\right) e^{-2\pi i n t/L} \end{array} \right.$$

by subs.  $\psi(t, x) = \sum_n \psi_n(t) e^{2\pi i n x/L}$ .

The commutators of the  $a_n$  are thus

$$[a_m, a_n] = m \delta_{m+n, 0} \frac{g}{4\pi^2}.$$

We set  $g = 4\pi^2$  to simplify this. Similarly,

$$[a_m, \bar{a}_n] = 0 \quad \text{and} \quad [\bar{a}_m, \bar{a}_n] = m \delta_{m+n, 0}.$$

Exercise: Show that the  $a_n$  are conserved charges:  $\dot{a}_n = 0$ .  
One way involves rewriting the classical EOMs in terms of the  $\psi_n(t)$ .

The Lie algebra generated by the  $a_n$  is called the Heisenberg algebra.  
We have two commuting copies!

### Fock Spaces

Given the symmetry algebra of the  $a_n$  and  $\bar{a}_n$ , we can consider those with  $n > 0$  to be annihilation operators, those with  $n < 0$

to be creation operators, and those with  $n = 0$  to be zero-modes.

Let  $a_n^\dagger = a_{-n}$  and  $\bar{a}_n^\dagger = \bar{a}_{-n}$ , so the zero-modes are self-adjoint:

$$a_0^\dagger = a_0, \quad \bar{a}_0^\dagger = \bar{a}_0.$$

Their eigenvalues are real - they are ~~the~~ physical observables!  
In fact, the eigenvalues are the momenta in the  $z$  or  $\bar{z}$  directions.

So, consider a vacuum (ground state) of momentum  $p, \bar{p}$

$$|p, \bar{p}\rangle, \quad p, \bar{p} \in \mathbb{R}.$$

It is annihilated by the  $a_n, \bar{a}_n$  with  $n > 0$ , but those with  $n < 0$  act on it to give excited states, eg.

$$a_{-1}|p, \bar{p}\rangle, a_{-1}^3|p, \bar{p}\rangle, \bar{a}_{-2}|p, \bar{p}\rangle, a_{-3}^2 \bar{a}_{-7} \bar{a}_{-4}|p, \bar{p}\rangle, \dots$$

The span of ~~the~~ excited states of a vacuum  $|p, \bar{p}\rangle$  is called the Fock space  
Note that these excited states have the same momentum:  $F_{p, \bar{p}}$

$$\begin{aligned} [a_0, a_n] &= 0, \quad [\bar{a}_0, \bar{a}_n] = 0 \Rightarrow a_0 a_{-3}^2 \bar{a}_{-7} \bar{a}_{-4} |p, \bar{p}\rangle \\ &= a_{-3}^2 \bar{a}_{-7} \bar{a}_{-4} a_0 |p, \bar{p}\rangle \\ &= a_{-3}^2 \bar{a}_{-7} \bar{a}_{-4} p |p, \bar{p}\rangle \\ &= p (a_{-3}^2 \bar{a}_{-7} \bar{a}_{-4} |p, \bar{p}\rangle). \end{aligned}$$

Why are they excited? They have more energy! So, we turn to the energy-momentum tensor  $T(z), \bar{T}(\bar{z})$ .  
stress-energy

Recall:  $T(z) = \frac{1}{2} \partial\phi(z) \partial\phi(z)$  (forget about antihol. stuff)

$$= \frac{1}{2} \sum_{r, s \in \mathbb{Z}} a_r a_s z^{-r-s-2} = \frac{1}{2} \sum_{n \in \mathbb{Z}} \left[ \sum_{r \in \mathbb{Z}} a_r a_{n-r} \right] z^{-n-2}.$$

Problem: The Fourier mode  $L_n \equiv \sum_{r \in \mathbb{Z}} a_r a_{n-r}$  is an infinite sum, so it might diverge when we act on some quantum state, i.e. a ~~Fock~~ state.



Divergences are common in quantum field theory, ~~but people know how to deal with them.~~

$$\begin{aligned}
 \text{eg. } L_0 |p\rangle &= \frac{1}{2} \sum_{r \in \mathbb{Z}} a_r a_{-r} |p\rangle = \frac{1}{2} \sum_{r \in \mathbb{Z}} a_r^2 |p\rangle \\
 &= \frac{1}{2} \left[ \sum_{r=-\infty}^{-1} a_r a_{-r} |p\rangle + a_0^2 |p\rangle + \sum_{r=1}^{\infty} a_r a_{-r} |p\rangle \right] \\
 &= \frac{1}{2} p^2 |p\rangle + \frac{1}{2} \sum_{r=1}^{\infty} (a_{-r} a_r + [a_r, a_{-r}]) |p\rangle \\
 &= \frac{1}{2} p^2 |p\rangle + \frac{1}{2} \underbrace{\sum_{r=1}^{\infty} r}_{\text{diverges!}} |p\rangle
 \end{aligned}$$

To fix this, note that any excited state  $a_{-n_1} \dots a_{-n_k} |p\rangle$  will be annihilated by  $a_n$  for  $n$  sufficiently large because  $[a_n, a_{-n_i}] = 0$  whenever  $n \neq n_i$ .

We regularise the above divergence by introducing "normal-ordering" in which annihilators are moved to the right of creators:

$$\text{More precisely, } :a_m a_n: = \begin{cases} a_m a_n & \text{if } m \leq -1 \text{ (} a_m \text{ is a creator)} \\ a_n a_m & \text{if } m \geq 0 \text{ (} a_m \text{ is not a creator)} \end{cases}$$

Justification: Classically, the  $a_n$  are numbers so they commute. When we quantise, there is an ambiguity in the ordering chosen. The naive ordering gives divergences, so we replace it by normal-ordering.

$$\begin{aligned}
 \text{Quantum stress-energy tensor:} \\
 T(z) &= \frac{1}{2} : \partial \varphi(z) \partial \varphi(z) : = \frac{1}{2} \sum_{n \in \mathbb{Z}} \left[ \sum_{r \in \mathbb{Z}} : a_r a_{n-r} : \right] z^{-n-2} \\
 &= \frac{1}{2} \sum_{\substack{n \in \mathbb{Z} \\ n \neq 0}} \left[ \sum_{r \leq -1} a_r a_{n-r} + \sum_{r \geq 0} a_{n-r} a_r \right] z^{-n-2}. \quad \left( T(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2} \right)
 \end{aligned}$$

These  $L_n$  act on excited states without divergences, eg.

$$L_0 |p\rangle = \frac{1}{2} \sum_{r \leq -1} a_r a_{-r} |p\rangle + \frac{1}{2} \sum_{r \geq 0} a_{-r} a_r |p\rangle = \frac{1}{2} a_0^2 |p\rangle = \frac{1}{2} p^2 |p\rangle.$$

The eigenvalue of  $L_0$  is called the energy (actually, it is the sum of the eigenvalues of  $L_0$  and  $\bar{L}_0$  which is the total energy  $\frac{1}{2}$ . — their difference is the angular momentum or spin!)

Exercise: • ~~(I can)~~ Check that  $L_n = \frac{1}{2} \sum_{r \in \mathbb{Z}} a_r a_{n-r}$  ( $n \neq 0$ )

$$\text{and } L_0 = \frac{1}{2} a_0^2 + \sum_{r=1}^{\infty} a_{-r} a_r.$$

We compute for  $m \neq 0$

$$\begin{aligned} [L_n, a_m] &= \frac{1}{2} \sum_{r \in \mathbb{Z}} [a_r a_{m-r}, a_m] = \frac{1}{2} \sum_{r \in \mathbb{Z}} (a_r [a_{m-r}, a_m] + [a_r, a_m] a_{m-r}) \\ &= \frac{1}{2} \sum_{r \in \mathbb{Z}} ((m-r) \delta_{r, m+n} a_r + r \delta_{r, -n} a_{m-r}) \\ &= \frac{1}{2} (-n a_{m+n} - n a_{m+n}) = -n a_{m+n} \quad (\text{nice!}) \end{aligned}$$

Exercise: Check that  $[L_0, a_n] = -n a_n$  so that

$$[L_m, a_n] = -n a_{m+n} \text{ for all } m, n \in \mathbb{Z}.$$

Exercise: (hard!) Check that

$$[L_m, L_n] = (m-n) L_{m+n} + \frac{m^3 - m}{12} \delta_{m+n, 0} c, \text{ where } c=1.$$

These commutation relations are similar to those of the infinitesimal conformal generators  $l_n$ : (classical)

$$[l_m, l_n] = (m-n) l_{m+n}.$$

The extra term with  $c=1$  is due to the quantisation.  $c$  is called the central charge or conformal anomaly.

Moral: Quantum CFTs may have  $c \neq 0$ . Classical CFTs must have  $c = 0$ . The free boson is a quantum CFT with  $c = 1$ . Actually,

$$\bar{T}(\bar{z}) = \sum_{n \in \mathbb{Z}} \bar{L}_n \bar{z}^{-n-2}, \quad \bar{L}_n = \frac{1}{2} \sum_{r \in \mathbb{Z}} : \bar{a}_r \bar{a}_{n-r} :, \quad \bar{c} = 1,$$

so we actually have  $c = \bar{c} = 1$ .

Note that  $[L_0, a_{-n}] = n a_{-n}$  means that acting with a creator  $a_{-n}$  increases the energy by  $n$  units.

eg. if  $|\psi\rangle$  has energy  $E$ :  $L_0 |\psi\rangle = E |\psi\rangle$

$$\begin{aligned} \text{then } a_{-n} |\psi\rangle \text{ has energy } E+n: L_0 a_{-n} |\psi\rangle &= (a_{-n} L_0 + [L_0, a_{-n}]) |\psi\rangle \\ &= (a_{-n} E + n a_{-n}) |\psi\rangle \\ &= (E+n) a_{-n} |\psi\rangle. \end{aligned}$$

So excited states do have more energy, as claimed!



Last time: Canonical Quantisation:  $\partial\phi(z) = \sum_{n \in \mathbb{Z}} a_n z^{-n-1}$

$$[a_m, a_n] = m \delta_{m+n, 0}$$

Fock Spaces:  $|p\rangle$  vacuum of momentum  $p \in \mathbb{R}$ .

$F_p$

$$a_0 |p\rangle = p |p\rangle, \quad a_n |p\rangle = 0 \quad \forall n > 0.$$

$a_{-n}$  act on  $|p\rangle$  to give excited states.

Excited states have same momentum.

Normal-Ordering:  $T(z) = \frac{1}{2} \partial\phi(z)^2$   
 $= \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$

$$\Rightarrow L_0 |p\rangle = \infty.$$

$$:a_m a_n: = \begin{cases} a_m a_n & m \leq -1 \\ a_n a_m & m \geq 0 \end{cases}$$

$$T(z) = \frac{1}{2} : \partial\phi(z) \partial\phi(z) : = \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$$

$$\Rightarrow L_0 |p\rangle = \frac{1}{2} p^2.$$

$a_0$  &  $\bar{a}_0$  = momentum operators

$L_0 + \bar{L}_0$  = energy operator,  $L_0 - \bar{L}_0$  = angular momentum operator.

$$[L_m, a_n] = -n a_{m+n}, \quad [L_m, L_n] = (m-n) L_{m+n} + \frac{m^3-m}{12} \delta_{m+n, 0} c$$

with central charge  $c=1$ .

Virasoro algebra = <sup>quantisation of</sup> infinitesimal conformal generators

$\Rightarrow$  Free Boson is a quantum conformal field theory.

## State-Field Correspondence

Since  $E_p = \frac{1}{2}p^2$ , the vacuum  $|0\rangle$  has minimal energy. It is the "true vacuum".

Given a field  $\psi(z)$  eg.  $\partial\phi(z)$ ,  $T(z)$ , etc..., there is a corresponding quantum state  $|\psi\rangle$  defined by

$$|\psi\rangle = \lim_{z \rightarrow 0} \psi(z) |0\rangle. \quad (\text{AND VICE-VERSA!})$$

Recall:



$z \rightarrow 0$  means  $t \rightarrow -\infty$ .

In scattering language,  $|\psi\rangle$  is an "asymptotic in-state".

Examples: • The identity field  $\Omega(z) = 1 = \sum_{n \in \mathbb{Z}} \delta_{n,0} z^{-n}$  satisfies

$$\lim_{z \rightarrow 0} \Omega(z) |0\rangle = \lim_{z \rightarrow 0} 1 |0\rangle = |0\rangle.$$

Thus, the corresponding state is  $|\Omega\rangle = |0\rangle$ .

- The holomorphic derivative of the boson field  $\partial\phi(z) = \sum_{n \in \mathbb{Z}} a_n z^{-n-1}$  satisfies

$$\lim_{z \rightarrow 0} \partial\phi(z) |0\rangle = \lim_{z \rightarrow 0} \sum_{n \in \mathbb{Z}} a_n z^{-n-1} |0\rangle$$

$$= \lim_{z \rightarrow 0} \sum_{n \leq -1} a_n z^{-n-1} |0\rangle \quad \left[ \begin{array}{l} \text{since } a_n |0\rangle = 0 \\ \text{for } n = 0, 1, 2, \dots \end{array} \right]$$

$$= \lim_{z \rightarrow 0} [a_{-1} |0\rangle + a_{-2} z |0\rangle + a_{-3} z^2 |0\rangle + \dots]$$

$$= a_{-1} |0\rangle.$$

i.e.  $|\partial\phi\rangle$  is the excited state  $a_{-1} |0\rangle$ .

- $T(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$  corresponds to  $L_{-2} |0\rangle$  because

$$L_{-1} |0\rangle = \frac{1}{2} \sum_{r \in \mathbb{Z}} a_r a_{-r-1} |0\rangle = 0 \quad \left[ \begin{array}{l} a_r |0\rangle = 0 \text{ for } r \geq 0 \\ a_{-r-1} |0\rangle = 0 \text{ for } r \leq -1 \end{array} \right] \quad [a_r, a_{-r-1}] = 0.$$

$$\bullet L_{-2}|0\rangle = \frac{1}{2} \sum_{r \in \mathbb{Z}} a_r a_{-r-2} |0\rangle = \frac{1}{2} a_{-1}^2 |0\rangle \quad \begin{cases} a_r |0\rangle = 0 \quad \forall r \geq 0 \\ a_{-r-2} |0\rangle = 0 \quad \forall r \leq -2 \end{cases}$$

so  $T(z) = \frac{1}{2} : \partial\psi(z) \partial\psi(z) :$  corresponds to  $\frac{1}{2} a_{-1}^2 |0\rangle$ ,

ie  $: \partial\psi(z) \partial\psi(z) :$  corresponds to  $a_{-1}^2 |0\rangle$ .

Exercise: Show that  $\partial^2 \psi(z) = \partial(\partial\psi(z)) = \partial \sum_{n \in \mathbb{Z}} a_n z^{-n-1} = -\sum_{n \in \mathbb{Z}} (n+1) a_n z^{-n-2}$  corresponds to  $a_{-2} |0\rangle$ .

Show that  $\partial^j \psi(z)$  corresponds to  $\frac{1}{j!} (j-1)! a_{-j} |0\rangle$ .

### Summary OPEs

Recall:  $\partial\psi(z) = \sum_{n \in \mathbb{Z}} a_n z^{-n-1}$  and  $[a_m, a_n] = m \delta_{m+n, 0}$ .

$$\Rightarrow [a_m, \partial\psi(w)] = \sum_{n \in \mathbb{Z}} [a_m, a_n] w^{-n-1} = \sum_{n \in \mathbb{Z}} m \delta_{m+n, 0} w^{-n-1} = m w^{m-1}.$$

$$\begin{aligned} \text{But, } [\partial\psi(z), \partial\psi(w)] &= \sum_{m \in \mathbb{Z}} \sum_{n \in \mathbb{Z}} [a_m, a_n] z^{-m-1} w^{-n-1} \\ &= \sum_{m \in \mathbb{Z}} [a_m, \partial\psi(w)] z^{-m-1} \quad \text{DIVERGENT!} \\ &= \sum_{m \in \mathbb{Z}} m w^{m-1} z^{-m-1} = \frac{1}{zw} \sum_{m \in \mathbb{Z}} m \left(\frac{w}{z}\right)^m \end{aligned}$$

We again have to remove such divergences. This is done, not by ~~normal-ordering~~, but by "time-ordering". Because

time on the cylinder is the radial direction on  $\mathbb{C}$ , we call it "radial-ordering":

$$R\{A(z)B(w)\} = \begin{cases} A(z)B(w) & \text{if } |z| > |w|, \text{ } z \text{ is later in time than } w \\ B(w)A(z) & \text{if } |z| < |w|, \text{ } z \text{ is earlier in time than } w \end{cases}$$



Why is this reasonable? These fields act on quantum states  $|C\rangle$  which are fields at  $z=0$  (ie time  $= -\infty$ ). Thus,

$$A(z)B(w)|C\rangle$$

is interpreted as:

- $|C\rangle$  is a state at time  $-\infty$  ~~(at  $z=0$ )~~
- Act with  $B(w)$  at time  $|w|$
- Then act with  $A(z)$  at time  $|z|$ .

This only makes sense if  $|z| > |w|$  ! If  $|z| < |w|$ , then  $A(z)$  acts before  $B(w)$  and we must write

$$B(w)A(z)|C\rangle.$$

In all cases, physics requires that the fields are radially-ordered.

This removes the divergence in  $[\partial\phi(z), \partial\phi(w)]$ :

$$R\{\partial\phi(z), \partial\phi(w)\} = R\{\partial\phi(z)\partial\phi(w)\} - R\{\partial\phi(w)\partial\phi(z)\} = 0.$$

But in a boring way! More interesting is that we can compute for  $|z| > |w|$ :

$$R\{\partial\phi(z)\partial\phi(w)\} = \partial\phi(z)\partial\phi(w) = \sum_{r,s \in \mathbb{Z}} a_r a_s z^{-r-1} w^{-s-1}$$

$$= \sum_{n \in \mathbb{Z}} \sum_{r \in \mathbb{Z}} a_r a_{n-r} z^{-r-1} w^{-n-1+r}$$

For  $n \neq 0$ ,  $a_r a_{n-r} = :a_r a_{n-r}: \quad (\text{since } [a_r, a_{n-r}] = 0),$

For  $n=0$ ,  $a_r a_{-r} = :a_r a_{-r}: \quad \text{if } r \leq -1$

$$\text{and } a_r a_{-r} = a_{-r} a_r + [a_r, a_{-r}] = :a_r a_{-r}: + r \quad \text{if } r \geq 0.$$

$$\text{ie } R\{\partial\phi(z)\partial\phi(w)\} = \sum_{n \in \mathbb{Z}} \sum_{r \in \mathbb{Z}} :a_r a_{n-r}: z^{-r-1} w^{-n-1+r} + \sum_{r=0}^{\infty} r z^{-r-1} w^{r-1}$$

$$= :\partial\phi(z)\partial\phi(w): + \frac{1}{z^2} \sum_{r=0}^{\infty} r \left(\frac{w}{z}\right)^{r-1}$$

$$= \quad \quad + \frac{1}{z^2} \frac{1}{(1-w/z)^2} \quad (\text{since } |z| > |w|)$$

$$\Rightarrow R\{\partial\psi(z)\partial\psi(w)\} = :\partial\psi(z)\partial\psi(w): + \frac{1}{(z-w)^2},$$

at least when  $|z| > |w|$ . If  $|z| < |w|$ , then we get

$$R\{\partial\psi(z)\partial\psi(w)\} = \partial\psi(w)\partial\psi(z) = :\partial\psi(w)\partial\psi(z): + \frac{1}{(w-z)^2}.$$

Claim:  $:a_r a_s: = :a_s a_r:$ , so  $:\partial\psi(z)\partial\psi(w): = :\partial\psi(w)\partial\psi(z):$

Proof: •  $r+s \neq 0 \Rightarrow :a_r a_s: = a_r a_s = a_s a_r = :a_s a_r:$  as  $[a_r, a_s] = 0$ .

•  $r+s=0$ . (1)  $r \leq -1 \Rightarrow :a_r a_{-r}: = a_r a_{-r}$  and

$$:a_{-r} a_r: = a_r a_{-r} \text{ since } -r \geq 1.$$

(2)  $r \geq 1 \Rightarrow :a_r a_{-r}: = a_{-r} a_r = :a_{-r} a_r:$  since  $-r \leq -1$ .

(3)  $r=0 \Rightarrow :a_0 a_0: = :a_0 a_0:$ . ■

$\therefore$  for  $|z| > |w|$  and  $|z| < |w|$ ,

$$R\{\partial\psi(z)\partial\psi(w)\} = \frac{1}{(z-w)^2} + :\partial\psi(z)\partial\psi(w):.$$

We can Taylor-expand the RHS about  $z=w$ :

$$R\{\partial\psi(z)\partial\psi(w)\} = \underbrace{\frac{1}{(z-w)^2} + :\partial\psi(w)\partial\psi(w): + :\partial^2\psi(w)\partial\psi(w):(z-w) + \dots}_{\text{Laurent series expansion in } z-w}.$$

NB: • There is a pole for  $z=w$ , so  $R\{\partial\psi(z)\partial\psi(z)\}$  diverges.

$$\bullet :\partial\psi(w)\partial\psi(w): = \oint_w \frac{R\{\partial\psi(z)\partial\psi(w)\}}{z-w} \frac{dz}{2\pi i}$$

• This Laurent series expansion is called an OPE.

In general, an OPE always has the form

$$R\{A(z)B(w)\} = [\text{singular terms as } z \rightarrow w] + :A(z)B(w):.$$

$$\left[ \text{In fact, the general definition of normal-ordering is} \right. \\ \left. :A(w)B(w): = \oint_w \frac{R\{A(z)B(w)\}}{z-w} \frac{dz}{2\pi i} \right]$$

Because of this, lazy physicists just write the singular terms:

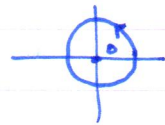
$$R\{\frac{\partial\phi(z)\partial\phi(w)}{A(z)B(w)}\} \sim \frac{1}{(z-w)^2}.$$

Usually they leave out the radial-ordering symbol too:

$$\partial\phi(z)\partial\phi(w) \sim \frac{1}{(z-w)^2}.$$

The OPE contains all information about commutators in its singular terms! Recall that

$$a_n = \oint_0 \partial\phi(z) z^n \frac{dz}{2\pi i}.$$



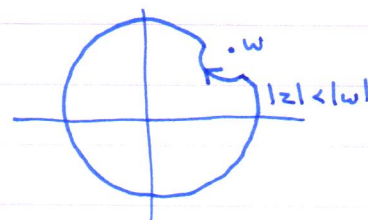
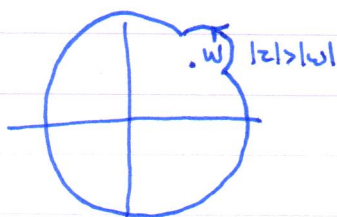
$$\therefore [a_m, a_n] = a_n a_m - a_m a_n$$

$$= \oint_0 \oint_0 \partial\phi(z) \partial\phi(w) z^m w^n \frac{dz}{2\pi i} \frac{dw}{2\pi i} - \oint_0 \oint_0 \partial\phi(w) \partial\phi(z) z^m w^n \frac{dz}{2\pi i} \frac{dw}{2\pi i}$$

(these products must be radially-ordered to make sense!)

$$= \oint_0 \oint_{|z|>|w|} R\{\partial\phi(z)\partial\phi(w)\} z^m w^n \frac{dz}{2\pi i} \frac{dw}{2\pi i} - \oint_0 \oint_{|z|<|w|} R\{\partial\phi(z)\partial\phi(w)\} z^m w^n \frac{dz}{2\pi i} \frac{dw}{2\pi i}$$

~~z~~ contour:



$$= \oint_0 \left[ \oint_{|z|>|w|} - \oint_{|z|<|w|} \right] R\{\partial\phi(z)\partial\phi(w)\} z^m w^n \frac{dz}{2\pi i} \frac{dw}{2\pi i}$$

$$= \oint_0 \oint_w R\{\partial\phi(z)\partial\phi(w)\} z^m w^n \frac{dz}{2\pi i} \frac{dw}{2\pi i}$$



$$\begin{aligned}
&= \oint_0 \oint_w \left[ \frac{z^m w^n}{(z-w)^2} + \overbrace{:\partial\psi(z)\partial\psi(w): z^m w^n}^{\text{no pole at } z=w} \right] \frac{dz}{2\pi i} \frac{dw}{2\pi i} \\
&= \oint_0 m w^{m+n-1} \frac{dw}{2\pi i} \\
&= m \delta_{m+n,0} . \quad \text{☺}
\end{aligned}$$

Equivalently, the commutation rules determine the ~~singular part of the OPE~~ using the state-field correspondence:

If  $R\{\partial\psi(z)\partial\psi(w)\} = \sum_n \psi_n(w)(z-w)^{-n-1}$  for some unknown  $\psi_n(w)$ , then apply both sides to  $|0\rangle$  and let  $w \rightarrow 0$ :

$$\begin{aligned}
\text{LHS: } \lim_{w \rightarrow 0} R\{\partial\psi(z)\partial\psi(w)\}|0\rangle &= \partial\psi(z) \lim_{w \rightarrow 0} \partial\psi(w)|0\rangle = \sum_{n \in \mathbb{Z}} a_n z^{-n-1} |\partial\psi\rangle \\
&= \sum_{n \in \mathbb{Z}} a_n a_{-1} |0\rangle z^{-n-1} \quad (\text{recall } |\partial\psi\rangle = a_{-1}|0\rangle).
\end{aligned}$$

$$\text{RHS: } \lim_{w \rightarrow 0} \sum_n \psi_n(w)(z-w)^{-n-1}|0\rangle = \sum_n \left[ \lim_{w \rightarrow 0} \psi_n(w)|0\rangle \right] z^{-n-1} = \sum_n |\psi_n\rangle z^{-n-1}.$$

Comparing gives  $|\psi_n\rangle = a_n a_{-1} |0\rangle$  for all  $n \in \mathbb{Z}$ .

For  $n \geq 2$ ,  $a_n a_{-1} |0\rangle = a_{-1} a_n |0\rangle = 0$ , so  $|\psi_n\rangle = 0$ , hence  $\psi_n(w) = 0$ .

For  $n=1$ ,  $a_1 a_{-1} |0\rangle = (a_{-1} a_1 + [a_1, a_{-1}]) |0\rangle = |0\rangle$ , so  $|\psi_1\rangle = |0\rangle$  and  $\psi_1(w) = 1$ .

For  $n=0$ ,  $a_0 a_{-1} |0\rangle = a_{-1} a_0 |0\rangle = 0$ , so  $|\psi_0\rangle = 0$ , hence  $\psi_0(w) = 0$ .

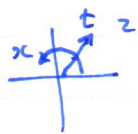
For  $n=-1$ ,  $a_{-1}^2 |0\rangle$  is  $|\psi_{-1}\rangle$ , so  $\psi_{-1}(w)$  is  $:\partial\psi(w)\partial\psi(w):$

etc...

$$\begin{aligned}
\therefore R\{\partial\psi(z)\partial\psi(w)\} &= \frac{\psi_1(w)}{(z-w)^2} + \psi_{-1}(w) + \psi_{-2}(w)(z-w) + \dots \\
&= \frac{1}{(z-w)^2} + :\partial\psi(w)\partial\psi(w): + \dots
\end{aligned}$$

Exercise: Use  $[L_m, a_n] = -n a_{m+n}$  to compute  $R\{T(z)\partial\psi(w)\}$ .  
the singular terms of

Recap:



State-Field Correspondence:

$$| \phi \rangle = \lim_{\substack{z \rightarrow 0 \\ t \rightarrow -\infty}} \phi(z) | 0 \rangle$$

(field in the infinite past)                      true vacuum

Radial Ordering: (Time)

$$R\{A(z)B(w)\} = \begin{cases} A(z)B(w) & \text{if } |z| > |w|, \\ B(w)A(z) & \text{if } |z| < |w|. \end{cases}$$

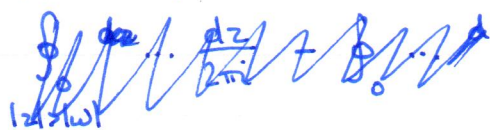
(Physical products must be radially-ordered!)

Operator Product Expansions:

$$R\{\partial\phi(z)\partial\phi(w)\} = \frac{1}{(z-w)^2} + :\partial\phi(z)\partial\phi(w):$$

or  $R\{\partial\phi(z)\partial\phi(w)\} \sim \frac{1}{(z-w)^2}$

OPEs  $\Leftrightarrow$  Commutators



$$\left[ \oint_{|z|>|w|} - \oint_{|z|<|w|} \right] \dots \frac{dz}{2\pi i} = \oint_w \dots \frac{dz}{2\pi i}$$



$$R\{\partial\phi(z)\partial\phi(w)\} \sim \frac{1}{(z-w)^2} \Leftrightarrow [a_n, a_m] = n\delta_{m+n,0}.$$

## Wick's Theorem for OPEs

~~The OPE of any normally~~

Wick's theorem lets us compute the OPE of normally-ordered products of

$\partial\varphi(z)$ . eg. we can compute  $R\{T(z)\partial\varphi(w)\} = \frac{1}{2}R\{:\partial\varphi(z)\partial\varphi(z):\partial\varphi(w)\}$ .

To do this, we introduce the contraction

$$\overbrace{\partial\varphi(z)\partial\varphi(w)} = \frac{1}{(z-w)^2} \text{ (singular term).}$$

singular terms of the

The  $\vee$  OPE ~~is~~ <sup>are</sup> computed by taking all possible contractions and normal-ordering what remains:

$$\begin{aligned} R\{T(z)\partial\varphi(w)\} &= \frac{1}{2}R\{:\partial\varphi(z)\partial\varphi(z):\partial\varphi(w)\} \\ &\sim \frac{1}{2}\left[:\overbrace{\partial\varphi(z)\partial\varphi(z)}:\partial\varphi(w) + :\partial\varphi(z)\overbrace{\partial\varphi(z)}:\partial\varphi(w)\right] \\ &= \frac{1}{2}\left[\frac{:\partial\varphi(z):}{(z-w)^2} + \frac{:\partial\varphi(z):}{(z-w)^2}\right] = \frac{\partial\varphi(z)}{(z-w)^2} \\ &= \frac{\partial\varphi(w)}{(z-w)^2} + \frac{\partial^2\varphi(w)}{z-w} + \frac{1}{2}\partial^3\varphi(w) + \dots \\ &\sim \frac{\partial\varphi(w)}{(z-w)^2} + \frac{\partial^2\varphi(w)}{z-w}. \end{aligned}$$

Another example:

$$R\{T(z)T(w)\} = \frac{1}{4}R\{:\partial\varphi(z)\partial\varphi(z)::\partial\varphi(w)\partial\varphi(w):\}$$

$$\begin{aligned} &\sim \frac{1}{4}\left[:\overbrace{\partial\varphi(z)\partial\varphi(z)}\overbrace{\partial\varphi(w)\partial\varphi(w)}: + :\overbrace{\partial\varphi(z)\partial\varphi(z)}\overbrace{\partial\varphi(w)\partial\varphi(w)}: \right. \\ &\quad + :\overbrace{\partial\varphi(z)\partial\varphi(z)}\overbrace{\partial\varphi(w)\partial\varphi(w)}: + :\overbrace{\partial\varphi(z)\partial\varphi(z)}\overbrace{\partial\varphi(w)\partial\varphi(w)}: \\ &\quad \left. + :\overbrace{\partial\varphi(z)\partial\varphi(z)}\overbrace{\partial\varphi(w)\partial\varphi(w)}: + :\overbrace{\partial\varphi(z)\partial\varphi(z)}\overbrace{\partial\varphi(w)\partial\varphi(w)}:\right] \\ &= \frac{:\partial\varphi(z)\partial\varphi(w):}{(z-w)^2} + \frac{1/2}{(z-w)^4} \\ &\sim \frac{1/2}{(z-w)^4} + \frac{:\partial\varphi(w)\partial\varphi(w):}{(z-w)^2} + \frac{\partial^2\varphi(w)\partial\varphi(w):}{z-w} \\ &= \frac{1/2}{(z-w)^4} + \frac{2T(w)}{(z-w)^2} + \frac{\partial T(w)}{z-w}. \end{aligned}$$



Here, we use  $\partial T(w) = \frac{1}{2} \partial : \mathbb{T} \partial \varphi(w) \partial \varphi(w) : = \frac{1}{2} : \partial^2 \varphi(w) \partial \varphi(w) : + \frac{1}{2} : \partial \varphi(w) \partial^2 \varphi(w) : = : \partial^2 \varphi(w) \partial \varphi(w) : \quad (\text{since } : a_r a_s : = : a_s a_r :).$

Exercise: Use  $T(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$ , and so  $L_n = \oint_0 T(z) z^{n+1} \frac{dz}{2\pi i}$ , to compute

$[L_m, L_n]$  using the double contour trick:

$$\left[ \oint_{|z|>|w|} - \oint_{|z|<|w|} \right] \frac{dz}{2\pi i} = \oint_w \dots \frac{dz}{2\pi i}.$$


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### Primary Fields

Define a primary field to be any field that corresponds to a vacuum under the state-field correspondence.  $\otimes$  The ~~field~~ primary field corresponding to the momentum  $p$  vacuum  $|p\rangle$  is denoted by

$$V_p(z), \quad \text{and}$$

We must have  $\lim_{z \rightarrow 0} V_p(z) |0\rangle = |p\rangle$ . Aside from  $V_0(z) = 1$ , we haven't found any primary fields yet.

~~The  $V_p(z)$  are called~~ Being primary, or being a vacuum, depends upon the algebra.

- A free boson vacuum has  $a_0 |p\rangle = p |p\rangle$  and  $a_n |p\rangle = 0 \quad \forall n > 0$ .
- A conformal vacuum has  $L_0 |h\rangle = h |h\rangle$  and  $L_n |h\rangle = 0 \quad \forall n > 0$ .

A free boson primary field  $V_p(z)$  is automatically a conformal primary field, because  $|p\rangle$  satisfies  $L_0 |p\rangle = \frac{1}{2} p^2 |p\rangle$  and  $L_n |p\rangle = 0 \quad \forall n > 0$ . But, conformal primaries need not be

free boson primaries.

$\otimes$  All other fields are said to be secondary.

Proof that  $L_n |p\rangle = 0 \ \forall n > 0$ :

If  $L_n |p\rangle \neq 0$ , then

$$\begin{aligned} L_0 L_n |p\rangle &= (L_n L_0 + [L_0, L_n]) |p\rangle \\ &= (L_n \cdot \frac{1}{2} p^2 - n L_n) |p\rangle \\ &= (\frac{1}{2} p^2 - n) L_n |p\rangle, \end{aligned}$$

so the quantum state  $L_n |p\rangle$  would have energy  $\frac{1}{2} p^2 - n$ . But, the states of the Fock space have energy at least  $\frac{1}{2} p^2$ , a contradiction.  $\therefore L_n |p\rangle = 0$ . ■

### Examples

- The field  $\partial\phi(z)$  is a conformal primary because the corresponding state  $|\partial\phi\rangle = a_{-1}|0\rangle$  is a conformal vacuum:  
 $L_0 |\partial\phi\rangle = L_0 a_{-1}|0\rangle = (\cancel{a_{-1} L_0} + [L_0, a_{-1}])|0\rangle = a_{-1}|0\rangle = |\partial\phi\rangle \ (E=1),$   
 $L_1 |\partial\phi\rangle = L_1 a_{-1}|0\rangle = (\cancel{a_{-1} L_1} + [L_1, a_{-1}])|0\rangle = a_0|0\rangle = 0,$   
 and  $L_n |\partial\phi\rangle = 0$  for  $n \geq 2$  because  $L_n |\partial\phi\rangle$  would have energy  $1-n < 0$ .

$\partial\phi(z)$  is not a free boson primary because

$$a_1 |\partial\phi\rangle = a_1 a_{-1}|0\rangle = (\cancel{a_{-1} a_1} + [a_1, a_{-1}])|0\rangle = |0\rangle \neq 0,$$

ie  $|\partial\phi\rangle$  is not a free boson vacuum.

- $T(z)$  is a conformal primary if and only if the central charge is  $c=0$ :

$$L_0 |T\rangle = L_0 L_{-2}|0\rangle = (\cancel{L_{-2} L_0} + [L_0, L_{-2}])|0\rangle = 2 L_{-2}|0\rangle = 2|T\rangle,$$

$$L_1 |T\rangle = L_1 L_{-2}|0\rangle = (\cancel{L_{-2} L_1} + [L_1, L_{-2}])|0\rangle = 3 L_{-1}|0\rangle = 0, \quad (*)$$

$$L_2 |T\rangle = L_2 L_{-2}|0\rangle = (\cancel{L_{-2} L_2} + [L_2, L_{-2}])|0\rangle = (4 L_0 + \frac{1}{2} c)|0\rangle = \frac{1}{2} c |0\rangle,$$

$$L_n |T\rangle = 0 \text{ for } n \geq 3 \text{ as } L_n |T\rangle \text{ would have energy } 2-n < 0.$$

⊛  $L_{-1}|0\rangle = 0$  in any conformal field theory so that  
 $\lim_{z \rightarrow 0} T(z)|0\rangle$  exists (and is  $L_{-2}|0\rangle$ ).

How to characterise a primary field using OPEs?

- Let  $V_p(w)$  be a free boson primary, so  $a_0|p\rangle = p|p\rangle$ ,  $a_n|p\rangle = 0 \forall n > 0$ .

Write:  $R\{\partial\psi(z)V_p(w)\} = \sum_{n \in \mathbb{Z}} \psi_n(w)(z-w)^{-n-1}$ ,  
↑  
unknown fields

apply to  $|0\rangle$  and let  $w \rightarrow 0$ . We get

$$\text{LHS} = \partial\psi(z)|p\rangle = \sum_{n \in \mathbb{Z}} a_n|p\rangle z^{-n-1} \quad \text{and} \quad \text{RHS} = \sum_{n \in \mathbb{Z}} |\psi_n\rangle z^{-n-1},$$

$$\text{ie } |\psi_n\rangle = a_n|p\rangle.$$

$$\therefore |\psi_0\rangle = a_0|p\rangle = p|p\rangle \Rightarrow \psi_0(w) = pV_p(w),$$

$$|\psi_n\rangle = a_n|p\rangle = 0 \Rightarrow \psi_n(w) = 0 \quad \forall n \geq 1.$$

$$\Rightarrow R\{\partial\psi(z)V_p(w)\} = \frac{pV_p(w)}{z-w} + \text{terms regular as } z \rightarrow w.$$

- Let  $\phi_h(w)$  be a conformal primary, so  $L_0|h\rangle = h|h\rangle$ ,  $L_n|h\rangle = 0 \forall n > 0$ .

By writing

$$R\{T(z)\phi_h(w)\} = \sum_{n \in \mathbb{Z}} \psi_n(w)(z-w)^{-n-2},$$

show that the OPE is

$$R\{T(z)\phi_h(w)\} \sim \frac{h\phi_h(w)}{(z-w)^2} + \frac{\partial\phi_h(w)}{z-w}.$$

This requires the following fact:

In any CFT, ~~an~~ if  $A(z)$  corresponds to  $|A\rangle$  then

$$\partial A(z) \quad \dots \quad L_{-1}|A\rangle.$$



## Correlation Functions

In quantum mechanics, all physical quantities may be expressed in terms of amplitudes  $\langle \phi | \psi \rangle$ ,  $\wedge$  In quantum field theory, this is (eg.  $\langle \phi | H | \phi \rangle$  is the energy of the state  $\phi$ )

generalised so that the physical quantities are related to  $\langle \phi | A(z) B(w) \dots C(x) | \psi \rangle$ .

By the state-field correspondence, states  $|\psi\rangle$  can be replaced by  $\psi(z)|0\rangle$  if the limit  $z \rightarrow 0$  is taken. Therefore, we consider the correlation functions  $\langle 0 | \overbrace{A(z) B(w) \dots C(x)}^{\text{radially-ordered}} | 0 \rangle \equiv \langle A(z) B(w) \dots C(x) \rangle$

We interpret  $|0\rangle$  as being at  $t = -\infty$ ,  $C(x)$  as acting at time  $|x|$ ,  $\dots$   $A(z)$  as acting at time  $|z| > |w| > \dots > |x|$ .  $\langle 0 |$  then projects the result onto the true vacuum — so we interpret bras  $\langle \phi |$  as being at  $t = +\infty$ .

Recall that operators act on bras using the adjoint:

$$\langle 0 | a_n = (a_n^\dagger | 0 \rangle)^\dagger = (a_{-n} | 0 \rangle)^\dagger.$$

Thus,  $\langle 0 | a_n = 0$  for  $n \leq 0$  because  $a_n | 0 \rangle = 0$  for  $n \geq 0$ .

### Examples:

- Correlators involving <sup>only</sup> the identity field are always 1 (by convention):  
 $\langle 0 | 1 \dots 1 | 0 \rangle = \langle 0 | 0 \rangle = 1.$
- $\langle 0 | \partial \psi(z) | 0 \rangle = \sum_{n \in \mathbb{Z}} \langle 0 | a_n | 0 \rangle z^{-n-1} = 0$  as  $a_n | 0 \rangle = 0 \ \forall n \geq 0$   
and  $\langle 0 | a_n = 0 \ \forall n \leq 0.$

$$\begin{aligned}
\bullet \langle 0 | : \partial \psi(z) \partial \psi(w) : | 0 \rangle &= \sum_{r,s \in \mathbb{Z}} \langle 0 | : a_r a_s : | 0 \rangle z^{-r-1} w^{-s-1} \\
&= \sum_{\substack{r,s \in \mathbb{Z} \\ r \leq -1 \\ s \leq -1}} \underbrace{\langle 0 | a_r a_s | 0 \rangle}_{=0} z^{-r-1} w^{-s-1} + \sum_{\substack{r,s \in \mathbb{Z} \\ r \geq 0 \\ s \geq 0}} \underbrace{\langle 0 | a_s a_r | 0 \rangle}_{=0} z^{-r-1} w^{-s-1} \\
&= 0. \quad (\text{normally-ordered correlators always vanish!})
\end{aligned}$$

$$\bullet \text{ Show that } \langle 0 | T(z) | 0 \rangle = 0.$$

$$\bullet \langle 0 | \mathcal{R} \{ \partial \psi(z) \partial \psi(w) \} | 0 \rangle = \langle 0 | \frac{1}{(z-w)^2} + : \partial \psi(z) \partial \psi(w) : | 0 \rangle = \frac{1}{(z-w)^2}.$$

Correlators of  $n$  fields are called  $n$ -point functions.

$$\bullet \text{ Show that } \langle 0 | \mathcal{R} \{ T(z) T(w) \} | 0 \rangle = \frac{c/2}{(z-w)^4}.$$

Correlators involving only  $\partial \psi(z)$  and its derivatives can be computed using Wick's theorem:

$$\begin{aligned}
&\langle 0 | \mathcal{R} \{ \partial \psi(z_1) \partial \psi(z_2) \partial \psi(z_3) \} | 0 \rangle \\
&= \langle 0 | : \overline{\partial \psi(z_1) \partial \psi(z_2)} \partial \psi(z_3) : | 0 \rangle + \langle 0 | : \overline{\partial \psi(z_1) \partial \psi(z_3)} \partial \psi(z_2) | 0 \rangle \\
&\quad + \langle 0 | : \overline{\partial \psi(z_2) \partial \psi(z_3)} \partial \psi(z_1) | 0 \rangle \\
&= \frac{1}{(z_1 - z_2)^2} \cancel{\langle 0 | \partial \psi(z_3) | 0 \rangle} + \frac{1}{(z_1 - z_3)^2} \langle \partial \psi(z_3) \rangle + \frac{1}{(z_2 - z_3)^2} \langle \partial \psi(z_1) \rangle \\
&= 0.
\end{aligned}$$

$$\langle 0 | \mathcal{R} \{ \partial \psi(z_1) \partial \psi(z_2) \partial \psi(z_3) \partial \psi(z_4) \} | 0 \rangle$$

$$= \overline{\quad} \overline{\quad} + \overline{\quad} \overline{\quad} + \overline{\quad} \overline{\quad}.$$

$$= \frac{1}{(z_1 - z_2)^2 (z_3 - z_4)^2} + \frac{1}{(z_1 - z_3)^2 (z_2 - z_4)^2} + \frac{1}{(z_1 - z_4)^2 (z_2 - z_3)^2}.$$

## Constraints on correlators

Let  $V_{p_1}(z_1), \dots, V_{p_n}(z_n)$  be free boson primaries. Since

compute:  $[a_m, V_p(w)] = \oint_{|z|>|w|} \partial\phi(z) V_p(w) z^m \frac{dz}{2\pi i} - \oint_{|z|<|w|} V_p(w) \partial\phi(z) z^m \frac{dz}{2\pi i}$

$$\begin{aligned} &= \oint_w R\{\partial\phi(z) V_p(w)\} z^m \frac{dz}{2\pi i} \\ &= \oint_w \left\{ \frac{p V_p(w)}{z-w} \right\} z^m \frac{dz}{2\pi i} \\ &= p w^m V_p(w). \end{aligned}$$

In particular,  $[a_0, V_p(w)] = p V_p(w)$ . Since  $a_0|0\rangle = 0$  and  $\langle 0|a_0 = [a_0^\dagger|0\rangle]^\dagger = [a_0|0\rangle]^\dagger = 0$ ,

we compute

$$\begin{aligned} 0 &= \langle 0|a_0 V_{p_1}(z_1) \dots V_{p_n}(z_n)|0\rangle \\ &= \sum_{j=1}^n \langle 0|V_{p_1}(z_1) \dots [a_0, V_{p_j}(z_j)] \dots V_{p_n}(z_n)|0\rangle + \cancel{\langle 0|V_{p_1}(z_1) \dots V_{p_n}(z_n) a_0|0\rangle} \\ &= \sum_{j=1}^n p_j \cdot \langle 0|V_{p_1}(z_1) \dots V_{p_n}(z_n)|0\rangle. \end{aligned}$$

Conclusion: A correlator of free boson primaries is 0 unless their momenta sum to zero.

Interpretation: Momentum is conserved:  $|0\rangle$  has  $p=0$  and we want to compare with  $\langle 0|$  which likewise has  $p=0$ .

Let  $\phi_{h_1}(z_1), \dots, \phi_{h_n}(z_n)$  be conformal primaries!

Ex:  $[L_m, \phi_h(w)] = h(m+1)w^m \phi_h(w) + w^{m+1} \partial \phi_h(w)$ .

We consider  $L_{-1}, L_0$  and  $L_1$  as they each annihilate  $|0\rangle$  and  $\langle 0|$ .



$$i[L_{-1}, \phi_h(z)] = \partial \phi_h(z) \quad (m=0, \pm 1)$$

$$\Rightarrow 0 = \langle 0 | L_m \phi_{h_1}(z_1) \cdots \phi_{h_n}(z_n) | 0 \rangle$$

$$= \sum_{j=1}^n \langle 0 | \phi_{h_1}(z_1) \cdots [L_m, \phi_{h_j}(z_j)] \cdots \phi_{h_n}(z_n) | 0 \rangle + 0$$

$$= \sum_{j=1}^n \langle 0 | \phi_{h_1}(z_1) \cdots [h(m+1) z_j^m \phi_{h_j}(z_j) + z_j^{m+1} \partial_j \phi_{h_j}(z_j)] \cdots \phi_{h_n}(z_n) | 0 \rangle$$

$$(\partial_j \equiv \partial_{z_j})$$

$$= \sum_{j=1}^n [h_j(m+1) z_j^m + z_j^{m+1} \partial_j] \cdot \langle 0 | \phi_{h_1}(z_1) \cdots \phi_{h_n}(z_n) | 0 \rangle.$$

These are PDEs for the  $n$ -point function! Explicitly:

$$m=-1 \Rightarrow \sum_{j=1}^n \partial_j \langle 0 | \phi_{h_1}(z_1) \cdots \phi_{h_n}(z_n) | 0 \rangle = 0, \quad \textcircled{-1}$$

$$m=0 \Rightarrow \sum_{j=1}^n (z_j \partial_j + h_j) \langle 0 | \phi_{h_1}(z_1) \cdots \phi_{h_n}(z_n) | 0 \rangle = 0, \quad \textcircled{0}$$

$$m=1 \Rightarrow \sum_{j=1}^n (z_j^2 \partial_j + 2h_j z_j) \langle 0 | \phi_{h_1}(z_1) \cdots \phi_{h_n}(z_n) | 0 \rangle = 0. \quad \textcircled{1}$$

Let's solve them:

$n=1$ :  $\textcircled{-1} \Rightarrow \partial_1 \langle 0 | \phi_{h_1}(z_1) | 0 \rangle = 0 \Rightarrow \langle 0 | \phi_{h_1}(z_1) | 0 \rangle$  is constant.

$\textcircled{0} \Rightarrow (z_1 \cancel{\partial_1} + h_1) \langle 0 | \phi_{h_1}(z_1) | 0 \rangle = 0 \Rightarrow \langle 0 | \phi_{h_1}(z_1) | 0 \rangle = 0$  or  $h_1=0$ .

Note:  $\langle 0 | 1 | 0 \rangle = 1$  and, indeed,  $1 = \phi_0(z_1)$  has  $h=0$ .

$n=2$ :  $\textcircled{-1} \Rightarrow (\partial_1 + \partial_2) \langle 0 | \phi_{h_1}(z_1) \phi_{h_2}(z_2) | 0 \rangle = 0.$

Change coordinates to  $z = z_1 + z_2$  and  $z_{12} = z_1 - z_2$ .

$\textcircled{-1} \Rightarrow \partial \langle 0 | \phi_{h_1}(z_1) \phi_{h_2}(z_2) | 0 \rangle = 0 \Rightarrow \langle 0 | \phi_{h_1}(z_1) \phi_{h_2}(z_2) | 0 \rangle = f(z_{12}).$

$\textcircled{0} \Rightarrow (z_{12} \partial_{12} + h_1 + h_2) f(z_{12}) = 0 \Rightarrow f(z_{12}) = \frac{C_{12}}{z_{12}^{h_1+h_2}}.$

$$\textcircled{1} \Rightarrow (z z_{12} \partial_{12} + (h_1 + h_2) z + (h_1 - h_2) z_{12}) f(z_{12}) = 0.$$

$$\text{Substitute for } f \text{ to get } (h_1 - h_2) \frac{C_{12}}{z_{12}^{h_1 + h_2 - 1}} = 0 \Rightarrow C_{12} = 0 \text{ or } h_1 = h_2.$$

$$\therefore \langle 0 | \phi_{h_1}(z_1) \phi_{h_2}(z_2) | 0 \rangle = \frac{C_{12} \delta_{h_1 = h_2}}{(z_1 - z_2)^{2h_1}}.$$

n=3: Show (tricky) that

$$\langle 0 | \phi_{h_1}(z_1) \phi_{h_2}(z_2) \phi_{h_3}(z_3) | 0 \rangle = \frac{C_{123}}{(z_1 - z_2)^{h_1 + h_2 - h_3} (z_1 - z_3)^{h_1 - h_2 + h_3} (z_2 - z_3)^{-h_1 + h_2 + h_3}}.$$

If we include the antiholomorphic contributions, then we would get, eg,

$$\begin{aligned} \langle 0 | \phi_{h_1, \bar{h}_1}(z_1, \bar{z}_1) \phi_{h_2, \bar{h}_2}(z_2, \bar{z}_2) | 0 \rangle &= \frac{C_{12} \delta_{h_1 = h_2} \delta_{\bar{h}_1 = \bar{h}_2}}{(z_1 - z_2)^{2h_1} (\bar{z}_1 - \bar{z}_2)^{2\bar{h}_1}} \\ &= \frac{C_{12} e^{2i(h - \bar{h}) \arg z}}{|z_1 - z_2|^{2(h_1 + \bar{h}_1)}} \delta_{\bar{h}_1 = \bar{h}_2} \delta_{h_1 = h_2}. \end{aligned}$$

This power law decay is the basis for CFT predictions of universal scaling laws and critical exponents!

Review: The free boson  $S[\varphi] = \frac{1}{8\pi^2} \int_{\text{cylinder}} \partial_\mu \varphi \partial^\mu \varphi \, dx dt$

$$\varphi(t, x) = \varphi(t, x+L)$$

$$\Rightarrow \text{EOM: } \partial \varphi = \partial \varphi(z), \quad \bar{\partial} \varphi = \bar{\partial} \varphi(\bar{z}).$$

Spinless  $\Rightarrow \varphi'(z', \bar{z}') = \varphi(z, \bar{z}) \Rightarrow$  classically conformal invariance.

$$\text{Stress-energy tensor: } T(z) = \frac{1}{2} \partial \varphi(z)^2 \quad \bar{T}(\bar{z}) = \frac{1}{2} \bar{\partial} \varphi(\bar{z})^2.$$

$$\text{Canonical quantisation: } \partial \varphi(z) = \sum_{n \in \mathbb{Z}} a_n z^{-n-1} \quad [a_m, a_n] = m \delta_{m+n, 0}.$$

Fock spaces: Vacuum of momentum  $p$  is  $|p\rangle$ :

$$\begin{array}{l} \vdots \\ a_{-1}^2 |p\rangle \\ a_{-2} a_{-1} |p\rangle \\ a_{-3} |p\rangle \end{array} \quad \begin{array}{l} \vdots \\ \text{(holomorphic)} \\ \text{momentum} \\ \text{operator} \end{array} \rightarrow a_0 |p\rangle = p |p\rangle, \quad \begin{array}{l} a_n |p\rangle = 0 \text{ for } n > 0. \\ \uparrow \\ \text{annihilation operators} \end{array}$$

$$\begin{array}{l} a_{-1}^2 |p\rangle \\ a_{-2} |p\rangle \\ |p\rangle \end{array}$$

Excited states are created by the creation operators  $a_n, n < 0$ . eg.  $a_{-4} a_{-1}^3 |p\rangle$ .

Fock space = vacuum + its excited states.

$$\text{Normal ordering: } : a_m a_n : = \begin{cases} a_m a_n & \text{if } m \leq -1 \\ a_n a_m & \text{if } m \geq 0 \end{cases}$$

$$\Rightarrow \text{quantisation of stress-energy tensor } T(z) = \sum_{n \in \mathbb{Z}} L_n z^{-n-2}$$

$$T(z) = \frac{1}{2} : \partial \varphi(z) \partial \varphi(z) : \Rightarrow L_n = \frac{1}{2} \sum_{r \in \mathbb{Z}} : a_r a_{n-r} :.$$

$$\Rightarrow L_0 |p\rangle = \frac{1}{2} p^2 |p\rangle.$$

$\uparrow$   
energy operator

$$[L_m, a_n] = -n a_{m+n}.$$

$$[L_m, L_n] = (m-n) L_{m+n} + \frac{m^3-m}{12} \delta_{m+n, 0} c, \quad c=1.$$

$$\text{State-Field Correspondence: } |\psi\rangle = \lim_{z \rightarrow 0} \psi(z) |0\rangle.$$

$$\text{Radial Ordering: } \mathcal{R}\{A(z) B(w)\} = \begin{cases} A(z) B(w) & \text{if } |z| > |w|, \\ B(w) A(z) & \text{if } |z| < |w|. \end{cases}$$

$$\text{Operator product expansion: } \mathcal{R}\{\partial \varphi(z) \partial \varphi(w)\} = \frac{1}{(z-w)^2} + : \partial \varphi(z) \partial \varphi(w) : \\ \sim \frac{1}{(z-w)^2}.$$



Wick's theorem  $\Rightarrow$  OPEs  $\mathcal{R}\{T(z)\partial\varphi(w)\} \sim \frac{\partial\varphi(w)}{(z-w)^2} + \frac{\partial^2\varphi(w)}{z-w}$ ,  
 $\mathcal{R}\{T(z)T(w)\} \sim \frac{c/2}{(z-w)^4} + \frac{2T(w)}{(z-w)^2} + \frac{\partial T(w)}{z-w}$ .

Primary fields: Correspond to a vacuum!

- Free boson primaries  $\equiv$  vertex operators  $V_p(z) \leftrightarrow |p\rangle$  ( $a_0|p\rangle = p|p\rangle$ ,  $a_n|p\rangle = 0$   $n > 0$ )
- Conformal primaries  $\phi_h(z) \leftrightarrow |\phi_h\rangle$  ( $L_0|\phi_h\rangle = h|\phi_h\rangle$ ,  $L_n|\phi_h\rangle = 0$  if  $n > 0$ ).

Correlation Functions:  $\langle A(z) \dots B(w) \rangle \equiv \langle 0 | \mathcal{R}\{A(z) \dots B(w)\} | 0 \rangle$ .

eg.  $\langle \partial\varphi(z_1) \partial\varphi(z_2) \rangle = \frac{1}{(z_1 - z_2)^2}$ ,  $\langle T(z_1) T(z_2) \rangle = \frac{c/2}{(z_1 - z_2)^4}$ .

Derived:  $\langle V_{p_1}(z_1) \dots V_{p_n}(z_n) \rangle = 0$  unless  $p_1 + \dots + p_n = 0$ . momentum conservation

$\langle \phi_{h_1}(z_1) \dots \phi_{h_n}(z_n) \rangle = f(z_1, \dots, z_n)$  satisfies

•  $\sum_{j=1}^n \partial_j f(z_1, \dots, z_n) = 0$  (translation invariance)

•  $\sum_{j=1}^n (z_j \partial_j + h_j) f(z_1, \dots, z_n) = 0$

•  $\sum_{j=1}^n (z_j^2 \partial_j + 2h_j z_j) f(z_1, \dots, z_n) = 0$ .

$\Rightarrow \langle \phi_{h_1}(z_1) \phi_{h_2}(z_2) \rangle = \frac{C_{12} \delta_{h_1=h_2}}{(z_1 - z_2)^{2h_1}}$ ,  $\langle \phi_{h_1}(z_1) \rangle = C_1 \delta_{h_1=0}$ ,

$\langle \phi_{h_1}(z_1) \phi_{h_2}(z_2) \phi_{h_3}(z_3) \rangle = \frac{C_{123}}{(z_1 - z_2)^{h_1+h_2-h_3} (z_1 - z_3)^{h_1-h_2+h_3} (z_2 - z_3)^{-h_1+h_2+h_3}}$ .

The conformal dimensions  $h_i$  of the primary field describe the asymptotic behaviour of the correlators; they fix the critical exponents!

## The Free Fermion

Just as the free boson describes a massless spinless bosonic string, the free fermion describes a massless spin  $\frac{1}{2}$  fermionic string. Thus, the fermion field has a ~~spin~~  $j = \frac{1}{2}$  and a  $j = -\frac{1}{2}$  component:

$$\Psi(t, x) = \begin{pmatrix} \psi(t, x) \\ \bar{\psi}(t, x) \end{pmatrix}.$$

~~The action is~~ Being fermionic, we may impose periodic or antiperiodic boundary conditions on the cylinder, eg.

$$\psi(t, x+L) = \begin{cases} +\psi(t, x) & \text{periodic (Ramond sector)} \\ -\psi(t, x) & \text{antiperiodic (Neveu-Schwarz sector)} \end{cases}$$

With  $\bar{\psi}$ , there are therefore four sectors in total!

The action is

$$S[\Psi] = \frac{1}{4\pi} \int_{\text{cyl.}} \bar{\Psi}^\dagger(t, x) \gamma^t \gamma^\mu \partial_\mu \Psi(t, x) dx dt$$
$$= \frac{1}{2\pi} \int_{\text{cyl.}} (\psi \bar{\partial} \psi + \bar{\psi} \partial \bar{\psi}) dx dt,$$

where the gamma matrices are  $\gamma^t = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  and  $\gamma^x = i \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ . The equations of motion are  $\partial \bar{\psi} = \bar{\partial} \psi = 0$ , ie  $\psi = \psi(z)$  and  $\bar{\psi} = \bar{\psi}(\bar{z})$ .

Transforming to complex coordinates requires that we account for the fact that  $\psi$  and  $\bar{\psi}$  are spinor fields, so

$$\psi(z) = \left( \frac{\partial z}{\partial x} \right)^{1/2} \psi(t, x) = \left( \frac{2\pi i z}{L} \right)^{1/2} \psi(t, x).$$

Since  $x \rightarrow x+L$  amounts to  $z \rightarrow e^{2\pi i} z$  on the plane, the nature of the boundary conditions swaps as we transform:

$$\psi(e^{2\pi i} z) = e^{i\pi} \left( \frac{2\pi i z}{L} \right)^{1/2} \psi(t, x+L) = \begin{cases} -\psi(z) & \text{antiperiodic (Ramond sector)} \\ +\psi(z) & \text{periodic (Neveu-Schwarz sector)}. \end{cases}$$

As the string is spin  $\frac{1}{2}$ , the angular momentum operator  $L_0 - \bar{L}_0$  should have eigenvalue  $+\frac{1}{2}$  on  $\psi(z)$  and  $-\frac{1}{2}$  on  $\bar{\psi}(\bar{z})$ . Thus, the eigenvalues of  $L_0 + \bar{L}_0$  must be  $+\frac{1}{2}$  and  $+\frac{1}{2}$ , respectively, so we propose the decompositions

$$\psi(z) = \begin{cases} \sum_{n \in \mathbb{Z}} \alpha_n z^{-n-1/2} & (\text{Ramond}) \\ \sum_{n \in \mathbb{Z} + \frac{1}{2}} b_n z^{-n-1/2} & (\text{Neveu-Schwarz}) \end{cases} \quad b_n = \oint_0 \psi(z) z^{n-1/2} \frac{dz}{2\pi i}$$

Canonical quantisation gives anticommutation relations:

$$b_n b_m + b_m b_n = \{b_m, b_n\} = \delta_{m+n, 0}.$$

Note:  $b_n^2 = \frac{1}{2} \delta_{n,0}$ .

Fock spaces: In each sector, let the  $b_m$  with  $n > 0$  be annihilators and the  $b_n$  with  $n < 0$  be creators.

In the Ramond sector, we have  $b_0$ . It is not a zero-mode as  $b_0 |\lambda\rangle = \lambda |\lambda\rangle$  ~~would require~~ that  $|\lambda\rangle$  be both bosonic and fermionic! It is not an annihilator as  $b_0 |\lambda\rangle = 0$  contradicts  $\frac{1}{2} |\lambda\rangle = b_0^2 |\lambda\rangle = b_0 (b_0 |\lambda\rangle)$ .  $\therefore$   $b_0$  is a creator.

Without any zero-modes, we cannot distinguish vacua.  $\therefore$  there is a single vacuum  $|NS\rangle$  in the Neveu-Schwarz sector and a single vacuum  $|R\rangle$  in the

|                |                                                                                                                                             |                                                                                                                                                                                |                    |                  |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------------------|
| Ramond sector: | $\begin{array}{c} \vdots \\ b_{-3/2} b_{-1/2}  NS\rangle \\ b_{-3/2}  NS\rangle \\ \vdots \\ b_{-1/2}  NS\rangle \\  NS\rangle \end{array}$ | $\begin{array}{c} \vdots \\ b_{-2}  R\rangle \quad b_{-2} b_0  R\rangle \\ \vdots \\ b_{-1}  R\rangle \quad b_{-1} b_0  R\rangle \\  R\rangle \quad b_0  R\rangle \end{array}$ | $(b_{-1/2}^2 = 0)$ | $(b_{-1}^2 = 0)$ |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------------------|

$\leftarrow$  degenerate!

Which one is the true vacuum? It can't be  $|R\rangle$  because

$$\lim_{z \rightarrow 0} \psi(z) |R\rangle = \lim_{z \rightarrow 0} \sum_{n \in \mathbb{Z}} b_n |R\rangle z^{-n-1/2} = \lim_{z \rightarrow 0} \left[ b_0 |R\rangle z^{-1/2} + b_{-1} |R\rangle z^{1/2} + \dots \right],$$

which doesn't exist! However,

$$\lim_{z \rightarrow 0} \psi(z) |NS\rangle = \lim_{z \rightarrow 0} \sum_{n \in \mathbb{Z}} b_n |NS\rangle z^{-n-1/2} = \lim_{z \rightarrow 0} \left[ b_{-1/2} |NS\rangle + b_{-3/2} |NS\rangle z + \dots \right] = b_{-1/2} |NS\rangle$$

does. We write  $|0\rangle \equiv |NS\rangle$ , and  $|\psi\rangle = b_{-1/2} |0\rangle$ .



Radial-Ordering: ~~Being fermionic~~ Swapping fermionic fields gives a sign.  
 Let the parity of the field  $A(z)$  be  $\bar{A} = \begin{cases} 0 & \text{if } A(z) \text{ is bosonic,} \\ 1 & \text{if } A(z) \text{ is fermionic.} \end{cases}$

Define: 
$$R\{A(z)B(w)\} = \begin{cases} A(z)B(w) & \text{if } |z| > |w|, \\ (-1)^{\bar{A}\bar{B}} B(w)A(z) & \text{if } |z| < |w|. \end{cases}$$

OPE: 
$$R\{\psi(z)\psi(w)\} = \sum_{n \in \mathbb{Z} + \frac{1}{2}} \phi_n(w) (z-w)^{-n-1/2}$$
  

$$\Rightarrow \sum_{n \in \mathbb{Z} + \frac{1}{2}} b_n |\psi\rangle z^{-n-1/2} = \sum_{n \in \mathbb{Z} + \frac{1}{2}} |\phi_n\rangle z^{-n-1/2} \Rightarrow |\phi_n\rangle = b_n |\psi\rangle = b_n b_{-1/2} |0\rangle.$$

$n > \frac{1}{2} \Rightarrow |\phi_n\rangle = b_n b_{-1/2} |0\rangle = -b_{-1/2} b_n |0\rangle = 0 \Rightarrow \phi_n(w) = 0.$

$n = \frac{1}{2} \Rightarrow |\phi_{1/2}\rangle = b_{1/2} b_{-1/2} |0\rangle = (-b_{-1/2} b_{1/2} + 1) |0\rangle = |0\rangle \Rightarrow \phi_{1/2}(w) = 1.$

$\therefore R\{\psi(z)\psi(w)\} \sim \frac{1}{z-w}.$

Note that  $R\{\psi(w)\psi(z)\} \sim \frac{1}{w-z} = -\frac{1}{z-w} = -R\{\psi(z)\psi(w)\}.$

Stress-energy tensor: For the free boson,  $T(z)$  was a dimension 2 field:  $|T\rangle = L_{-2}|0\rangle, L_0|T\rangle = 2|T\rangle, = \frac{1}{2} a_{-1}^2 |0\rangle.$

We therefore guess that

$|T\rangle = \alpha b_{-3/2} b_{-1/2} |0\rangle = -\alpha b_{-1/2} b_{-3/2} |0\rangle$

$\Rightarrow T(z) = -\alpha : \psi(z) \partial \psi(z) :,$

where the normal-ordering is defined, in the NS sector, by

$$:b_m b_n: = \begin{cases} +b_m b_n & \text{if } m \leq -\frac{1}{2}, \\ -b_n b_m & \text{if } m \geq \frac{1}{2}. \end{cases}$$

Since  $\psi(z) = \sum_{n \in \mathbb{Z} + \frac{1}{2}} b_n z^{-n-1/2}, \quad \partial \psi(z) = -\sum_{n \in \mathbb{Z} + \frac{1}{2}} (n + \frac{1}{2}) b_n z^{-n-3/2}$

$\Rightarrow \sum_{n \in \mathbb{Z}} L_n z^{-n-2} = T(z) = +\alpha \sum_{n \in \mathbb{Z}} \sum_{r \in \mathbb{Z}} (n-r + \frac{1}{2}) :b_r b_{n-r}: z^{-n-2}$

$\Rightarrow L_n = \alpha \sum_{r \in \mathbb{Z}} (n-r + \frac{1}{2}) :b_r b_{n-r}: = \alpha \sum_{r \leq -\frac{1}{2}} (n-r + \frac{1}{2}) b_r b_{n-r} - \alpha \sum_{r \geq \frac{1}{2}} (n-r + \frac{1}{2}) b_{n-r} b_r.$

Wick's theorem works for OPEs of  $\psi(z)$  and its derivatives, but we need to include a sign every time we exchange two fermions to contract:

$$\text{eg. } \overline{\psi(z) \partial \psi(x)} \psi(w) = \frac{\psi(w)}{(z-w)^2}, \quad \overline{\psi(z) \partial \psi(x)} \overline{\psi(w)} = -\partial \psi(x) \overline{\psi(z) \psi(w)} = \frac{-\partial \psi(w)}{z-w}.$$

$$\text{since } R\{\psi(z) \partial \psi(w)\} \sim \partial_x R\{\psi(z) \psi(w)\} \sim \partial_x \frac{1}{z-x} = \frac{1}{(z-x)^2}.$$

$$\therefore R\{T(z) \psi(w)\} = -\alpha R\{\psi(z) \partial \psi(z) : \psi(w)\}$$

$$\sim -\alpha : \overline{\psi(z) \partial \psi(z)} \psi(w) : -\alpha : \psi(z) \overline{\partial \psi(z) \psi(w)} :$$

$$= \frac{\alpha \partial \psi(z)}{z-w} + \frac{\alpha \psi(z)}{(z-w)^2}$$

$$\sim \frac{\alpha \psi(w)}{(z-w)^2} + \frac{2\alpha \partial \psi(w)}{z-w},$$

$$\text{since } R\{\partial \psi(z) \psi(w)\} = \partial_z R\{\psi(z) \psi(w)\} \sim \partial_z \frac{1}{z-w} = \frac{-1}{(z-w)^2}.$$

$$\text{We therefore take } \alpha = \frac{1}{2}, \text{ so } T(z) = -\frac{1}{2} : \psi(z) \partial \psi(z) :.$$

$$\text{Ex: } R\{T(z) T(w)\} = \frac{1}{4} R\{ : \psi(z) \partial \psi(z) : : \psi(w) \partial \psi(w) : \}$$

$$\sim \frac{1/4}{(z-w)^4} + \frac{2T(w)}{(z-w)^2} + \frac{\partial T(w)}{z-w}. \quad \therefore \underline{c = \frac{1}{2}}.$$

This (finally) demonstrates that the free fermion is a CFT.

We can now compute the energy of the vacua  $|0\rangle \equiv |NS\rangle$  and  $|R\rangle$ .

For  $|NS\rangle$ , this is easy and the answer is as expected:

$$L_0 |NS\rangle = -\frac{1}{2} \sum_{r \leq -\frac{1}{2}} (r - \frac{1}{2}) b_r b_{-r} |NS\rangle + \frac{1}{2} \sum_{r \geq \frac{1}{2}} (r - \frac{1}{2}) b_{-r} b_r |NS\rangle = 0.$$

But, we cannot use this expression for  $L_0$  in the Ramond sector (the normal-ordering is only valid in the NS sector!).

To compute the energy of  $|R\rangle$ , we derive a generalised commutation relation:

$$\begin{aligned} R\{\psi(z)\psi(w)\} &= \frac{1}{z-w} + :\psi(z)\psi(w): \\ &= \frac{1}{z-w} + \underbrace{:\psi(w)\psi(w):}_{= -:\psi(w)\psi(w):} + \underbrace{:\partial\psi(w)\psi(w):}_{= -:\psi(w)\partial\psi(w):} (z-w) + \dots \\ &\text{so } \therefore = 0. \quad = 2T(w) \\ &= \frac{1}{z-w} + 2T(w)(z-w) + \dots \end{aligned}$$

We therefore compute  $\oint_0 \oint_w R\{\psi(z)\psi(w)\} z^{m+3/2} w^{n-1/2} (z-w)^{-2} \frac{dz}{2\pi i} \frac{dw}{2\pi i}$  in two ways:

$$\begin{aligned} \textcircled{1} &= \oint_0 \oint_w \left[ \frac{z^{m+3/2} w^{n-1/2}}{(z-w)^3} + \frac{2T(w) z^{m+3/2} w^{n-1/2}}{z-w} \right] \frac{dz}{2\pi i} \frac{dw}{2\pi i} \\ &= \oint_0 \left[ \frac{1}{2} (m+\frac{1}{2})(m+\frac{3}{2}) w^{m+n-1} + 2T(w) w^{m+n+1} \right] \frac{dw}{2\pi i} \\ &= \frac{1}{2} (m+\frac{1}{2})(m+\frac{3}{2}) \delta_{m+n,0} + 2L_{m+n}. \end{aligned}$$

$$\begin{aligned} \frac{1}{(1-x)^2} &= \partial_x \frac{1}{1-x} = \sum_{r=1}^{\infty} r x^{r-1} \\ &= \sum_{r=0}^{\infty} (r+1) x^r. \end{aligned}$$

$$\begin{aligned} \textcircled{2} &= \oint_0 \oint_{|z|>|w|} \psi(z)\psi(w) z^{m+3/2} w^{n-1/2} (1-w/z)^{-2} \frac{dz}{2\pi i} \frac{dw}{2\pi i} \\ &\quad - \oint_0 \oint_{|z|<|w|} -\psi(w)\psi(z) w^{n-5/2} z^{m+3/2} (1-z/w)^{-2} \frac{dz}{2\pi i} \frac{dw}{2\pi i} \\ &= \sum_{r=0}^{\infty} (r+1) \left[ \oint_0 \psi(z) z^{m-r-1/2} \frac{dz}{2\pi i} \oint_0 \psi(w) w^{n+r-1/2} \frac{dw}{2\pi i} \right. \\ &\quad \left. + \oint_0 \psi(w) w^{n-r-5/2} \frac{dw}{2\pi i} \oint_0 \psi(z) z^{m+r+3/2} \frac{dz}{2\pi i} \right] \\ &= \sum_{r=0}^{\infty} (r+1) [\psi_{m-r} \psi_{n+r} + \psi_{n-r-2} \psi_{m+r+2}]. \end{aligned}$$

Thus,  $\sum_{r=0}^{\infty} (r+1) [\psi_{m-r} \psi_{n+r} + \psi_{n-r-2} \psi_{m+r+2}] = \frac{(2m+1)(2m+3)}{8} \delta_{m+n,0} + 2L_{m+n}.$

We apply this, with  $m=-\frac{1}{2}$ ,  $n=+\frac{1}{2}$ , to  $|NS\rangle$ :

$$\sum_{r=0}^{\infty} (r+1) [\cancel{\psi_{-r-1/2} \psi_{r+1/2}} + \cancel{\psi_{-r-3/2} \psi_{r+3/2}}] |NS\rangle = 2L_0 |NS\rangle \Rightarrow L_0 |NS\rangle = 0. \quad \checkmark$$

With  $m=-1$  and  $n=1$ , we can apply it to  $|R\rangle$ :

$$\sum_{r=0}^{\infty} (r+1) [\cancel{\psi_{-r-1} \psi_{r+1}} + \cancel{\psi_{-r-1} \psi_{r+1}}] |R\rangle = \frac{(-1)(1)}{8} + 2L_0 |R\rangle \Rightarrow L_0 |R\rangle = \frac{1}{16} |R\rangle.$$

The Ramond vacuum has energy  $1/16$ .



Last time: Free fermion = massless spin  $\frac{1}{2}$  fermionic string:  $\psi(z), \bar{\psi}(\bar{z})$ .

$$\psi(e^{2\pi i} z) = \begin{cases} +\psi(z) & \text{periodic (Neveu-Schwarz)} \\ -\psi(z) & \text{antiperiodic (Ramond)} \end{cases}$$

$$\psi(z) = \sum_n b_n z^{-n-1/2}, \quad n \in \begin{cases} \mathbb{Z} + \frac{1}{2} & (\text{NS}) \\ \mathbb{Z} & (\text{R}) \end{cases}; \quad \{b_m, b_n\} = \delta_{m+n, 0}.$$

Fock spaces: There are only two!

|           |                                           |                    |                        |
|-----------|-------------------------------------------|--------------------|------------------------|
|           | $\vdots$                                  | $\vdots$           | $\vdots$               |
| $E = 3/2$ | $b_{-3/2}  NS\rangle$                     | $b_{-2}  R\rangle$ | $b_{-2} b_0  R\rangle$ |
|           |                                           |                    | $E = \frac{33}{16}$    |
| $E = 1/2$ | $b_{-1/2}  NS\rangle \equiv  \psi\rangle$ | $b_{-1}  R\rangle$ | $b_{-1} b_0  R\rangle$ |
|           |                                           |                    | $E = \frac{17}{16}$    |
| $E = 0$   | $ NS\rangle \equiv  0\rangle$             | $ R\rangle$        | $b_0  R\rangle$        |
|           |                                           |                    | $E = \frac{1}{16}$     |

OPE:  $R\{\psi(z)\psi(w)\} \sim \frac{1}{z-w}$

$T(z) = -\frac{1}{2} : \psi(z) \partial \psi(z) :$

$R\{T(z)\psi(w)\} \sim \frac{\frac{1}{2}\psi(w)}{(z-w)^2} + \frac{\partial\psi(w)}{z-w}$

$\Rightarrow \psi$  is a conformal primary of conformal dimension  $\frac{1}{2}$ .

$R\{T(z)T(w)\} \sim \frac{\frac{1}{4}}{(z-w)^4} + \frac{2T(w)}{(z-w)^2} + \frac{\partial T(w)}{z-w} \Rightarrow$  central charge is  $c = \frac{1}{2}$ .

Why is there no momentum operator for the free fermion?

Because it lives in a fermionic spacetime ( $\psi$  and  $\bar{\psi}$  are anticommuting), not a normal bosonic spacetime.

Today, we will discuss CFTs that only have the conformal symmetry

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{m^3-m}{12} \delta_{m+n, 0} c.$$

Here, the Fock spaces are built by exciting a conformal vacuum  $|\phi_h\rangle$  satisfying  $L_0|\phi_h\rangle = h|\phi_h\rangle$  and  $L_n|\phi_h\rangle = 0$  for  $n > 0$ . Something

interesting happens when  $h=0$  because the true vacuum  $|\phi_0\rangle = |0\rangle$  must be annihilated by  $L_{-1}$ :

$\vdots$   
 $L_{-3}^3 |\phi_h\rangle$   $L_{-2}L_{-1} |\phi_h\rangle$   $L_{-3} |\phi_h\rangle$   $E = h+3$

$L_{-1}^2 |\phi_h\rangle$   $L_{-2} |\phi_h\rangle$   $E = h+2$

$L_{-1} |\phi_h\rangle$   $E = h+1$

$|\phi_h\rangle$   $E = h$

$\vdots$   
 $L_{-2}^2 |0\rangle$   $L_{-4} |0\rangle$   $E = 4$

$L_{-3} |0\rangle$   $E = 3$

$L_{-2} |0\rangle \equiv |T\rangle$   $E = 2$

$|0\rangle$   $E = 0$

- $L_{-1}|0\rangle = 0$  for the state-field correspondence to work:  $\lim_{z \rightarrow 0} T(z)|0\rangle$  must exist!
- $L_{-1}|0\rangle$  corresponds to the derivative ( $L_{-1} \leftrightarrow \partial$ ) of the identity field ( $|0\rangle \leftrightarrow 1$ ).
- The true vacuum is maximally symmetric:  $L_n|0\rangle = 0$  for all  $n \geq -1$ .

Here is a fourth way of thinking about this:

- The state  $L_{-1}|0\rangle$  is unphysical meaning that it vanishes in any amplitude:  $\langle \psi | L_{-1}|0\rangle = 0$ , hence any physical measurement involving this state gives zero!

Physically,  $L_{-1}|0\rangle$  is indistinguishable from the zero state!

Why is  $\langle \psi | L_{-1}|0\rangle = 0$  for all  $|\psi\rangle$  ~~in the Fock space~~?

1)  $L_0$  is hermitian ( $L_0^\dagger = L_0$ ), so its eigenvectors are orthogonal.

$\therefore \langle \psi | L_{-1}|0\rangle = 0$  unless  $|\psi\rangle$  has energy  $E=1$ .

2) Different Fock spaces are orthogonal (by definition).

$\therefore \langle \psi | L_{-1}|0\rangle = 0$  unless  $|\psi\rangle$  is created from  $|0\rangle$  with  $E=1$ .

There is therefore only one possibility for  $|\psi\rangle$ :  $L_{-1}|0\rangle$  itself. But, then:

$$\begin{aligned} \langle \psi | L_{-1}|0\rangle &= \langle 0 | L_{-1} L_{-1}|0\rangle = \langle 0 | [L_{-1}, L_0 + [L_{-1}, L_0]]|0\rangle \quad [L_n^\dagger = L_{-n}] \\ &= \langle 0 | 2L_0|0\rangle = 0. \end{aligned}$$

There are no unphysical states in the Fock spaces of the free boson or the free fermion.  $\left[ \rightarrow \text{example on next page} \right]$

FACT: The Fock space built from the true vacuum by acting with conformal creators  $L_n$  ( $n < 0$ ) has an unphysical state unrelated to  $L_{-1}|0\rangle$  if and only if the central charge has the form

$$c = 1 - \frac{6(p'-p)^2}{pp'}$$

where  $p, p' \in \{2, 3, 4, \dots\}$  and  $\gcd\{p, p'\} = 1$ .

Clarifying Example: In quantum mechanics, a spin  $\frac{1}{2}$  particle is described by  $su(2)$  where we have two states  $|\frac{1}{2}; \frac{1}{2}\rangle$  and  $|\frac{1}{2}; -\frac{1}{2}\rangle$  upon which the spin operator  $S = J^3 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  and the ladder operators  $J^+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  and  $J^- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$

act. Here,  $J^3$  is a zero mode (it measures the spin) and, if  $|\frac{1}{2}; \frac{1}{2}\rangle$  is taken as a vacuum, then  $J^+$  is an annihilation operator while  $J^-$  is a creation op.

But, the Fock space created from  $|\frac{1}{2}; \frac{1}{2}\rangle$  should look like this:

$$\begin{array}{c} J^- \uparrow |\frac{1}{2}; -\frac{3}{2}\rangle \\ J^- \uparrow |\frac{1}{2}; -\frac{1}{2}\rangle \\ J^- \uparrow |\frac{1}{2}; \frac{1}{2}\rangle \xrightarrow{J^+} \end{array}$$

However, there are no states with spin  $< -\frac{1}{2}$  because  $|\frac{1}{2}; -\frac{3}{2}\rangle$  is unphysical:

1)  $J^3$  is hermitian, so it is orthogonal to all states with spin  $\sigma \neq -\frac{3}{2}$ .  
ie to all states but itself.

$$\begin{aligned} 2) \quad \langle \frac{1}{2}; -\frac{3}{2} | \frac{1}{2}; -\frac{3}{2} \rangle &= \langle \frac{1}{2}; -\frac{1}{2} | J^+ J^- | \frac{1}{2}; -\frac{1}{2} \rangle \quad (J^{-\dagger} = J^+) \\ &= \langle \frac{1}{2}; -\frac{1}{2} | J^- J^+ + [J^+, J^-] | \frac{1}{2}; -\frac{1}{2} \rangle \\ &= \langle \frac{1}{2}; \frac{1}{2} | \frac{1}{2}; \frac{1}{2} \rangle + \langle \frac{1}{2}; -\frac{1}{2} | 2J^3 | \frac{1}{2}; -\frac{1}{2} \rangle \quad ([J^+, J^-] = 2J^3) \\ &= 1 - \langle \frac{1}{2}; -\frac{1}{2} | \frac{1}{2}; -\frac{1}{2} \rangle \\ &= 0. \end{aligned}$$

The CFTs with  $c = 1 - \frac{6(p'-p)^2}{pp'}$  and only Virasoro symmetry are called the minimal models  $M(p, p')$ . Because the conformal vacua  $|\phi_h\rangle$  are parametrised by an energy  $E \in \mathbb{R}$ , it would seem that all should be allowed. But, this is false because of unphysical states!



Ex:  $(p, p') = (2, 3) \Rightarrow c = 1 - \frac{6 \cdot 1^2}{6} = 0.$

In this case, the extra unphysical state beyond  $L_{-1}|0\rangle$  is

$$|T\rangle = L_{-2}|0\rangle.$$

Check:  $|T\rangle$  is the only energy 2 state, so it's enough to show that

$$\langle T|T\rangle = 0. \quad \text{So we do:}$$

$$\begin{aligned} \langle T|T\rangle &= \langle 0|L_2 L_{-2}|0\rangle = \langle 0|L_{-2} \frac{1}{2} + [L_2, L_{-2}]|0\rangle \\ &= \langle 0|\frac{1}{4} + \frac{1}{2}c|0\rangle = \frac{1}{2}c = 0. \end{aligned}$$

But, if  $|T\rangle = 0$  then  $T(z) = 0$  (state-field correspondence) and so

$$\sum_{n \in \mathbb{Z}} L_n z^{-n-2} = 0 \Rightarrow L_n = 0 \text{ for all } n. \quad \text{As } L_0|\phi_h\rangle = h|\phi_h\rangle \text{ by}$$

definition, we can only have  $L_0 = 0$  if  $h = 0$ .

$\therefore |0\rangle$  is the only conformal vacuum in the minimal model  $M(2, 3)$ .

[In fact,  $|0\rangle$  is the only state in  $M(2, 3)$  - the theory is trivial!]

Ex:  $(p, p') = (2, 5) \Rightarrow c = 1 - \frac{6 \cdot 3^2}{10} = -\frac{22}{5}.$

For  $M(2, 5)$ , the extra unphysical state is

$$|\chi\rangle = (L_{-2}^2 - \frac{3}{5}L_{-4})|0\rangle.$$

To check this, we check orthogonality to both states of ~~dim~~ energy 4,

$L_{-2}^2|0\rangle$  and  $L_{-4}|0\rangle$ :

$$\begin{aligned} \langle 0|L_4|\chi\rangle &= \langle 0|L_4 L_{-2}^2 - \frac{3}{5}L_4 L_{-4}|0\rangle \\ &= \langle 0|6L_2 L_{-2} - \frac{3}{5}(8\cancel{1} + 5c)|0\rangle \\ &= \langle 0|6(\frac{1}{4} + \frac{1}{2}c) - 3c|0\rangle \\ &= \langle 0|3c - 3c|0\rangle \\ &= 0, \end{aligned}$$

$$\begin{aligned} \langle 0|L_{-2}^2|\chi\rangle &= \langle 0|L_{-2} L_{-2} L_{-2}^2 - \frac{3}{5}L_{-2} L_{-2} L_{-4}|0\rangle \\ &= \langle 0|L_{-2} L_{-2} L_{-2} L_{-2} + L_{-2}(4L_0 + \frac{1}{2}c)L_{-2} - \frac{3}{5}L_{-2} \cdot 6L_{-2}|0\rangle \\ &= \langle 0|L_{-2} L_{-2}(\frac{1}{4} + \frac{1}{2}c) + (8 + \frac{1}{2}c)(\frac{1}{4} + \frac{1}{2}c) - \frac{18}{5}(\frac{1}{4} + \frac{1}{2}c)|0\rangle \\ &= \langle 0|(\frac{1}{4} + \frac{1}{2}c)\frac{1}{2}c + (8 + \frac{1}{2}c)\frac{1}{2}c - \frac{9}{5}c|0\rangle \\ &= \frac{1}{2}[c^2 + \frac{22}{5}c] = 0. \end{aligned}$$

By the state-field correspondence, it follows that  $|\chi\rangle = 0$

$$\Rightarrow \chi(z) = :T(z)T(z): - \frac{3}{10} \partial^2 T(z) = 0 \quad \Rightarrow \sum_{n \in \mathbb{Z}} \chi_n z^{-n-4} = 0,$$

$$\text{where } \chi_n = \sum_{r \in \mathbb{Z}} :L_r L_{n-r}: - \frac{3}{10} (n+2)(n+3) L_n$$

$$= \sum_{r \leq -2} L_r L_{n-r} + \sum_{r \geq -1} L_{n-r} L_r - \frac{3}{10} (n+2)(n+3) L_n \equiv 0.$$

Acting with  $\chi_0$  on the conformal vacuum  $|\phi_h\rangle$  then gives zero:

$$\begin{aligned} 0 = \chi_0 |\phi_h\rangle &= \sum_{r \leq -2} L_r \cancel{L_r} |\phi_h\rangle + \sum_{r \geq -1} L_{-r} L_r |\phi_h\rangle - \frac{3}{10} \cdot 2 \cdot 3 L_0 |\phi_h\rangle \\ &= L_{-1} L_{-1} |\phi_h\rangle + L_0^2 |\phi_h\rangle - \frac{9}{5} L_0 |\phi_h\rangle = 2L_0 |\phi_h\rangle + h^2 |\phi_h\rangle - \frac{9}{5} h |\phi_h\rangle \\ &= (h^2 + \frac{1}{5}) |\phi_h\rangle = h(h + \frac{1}{5}) |\phi_h\rangle. \end{aligned}$$

$\therefore$  the only conformal vacua in the minimal model  $M(2,5)$  are the true vacuum  $|0\rangle$  and that with energy  $-\frac{1}{5}$ :  $|\phi_{-1/5}\rangle$ .

$M(2,5)$  is identified with the thermodynamic limit of the statistical model known as the Yang-Lee singularity, ~~at the critical point~~ at its critical point.

$$\text{Ex: } (p, p') = (3, 4) \Rightarrow c = 1 - \frac{6 \cdot 1^2}{12} = \frac{1}{2}. \quad \langle \phi_{-1/5}(z, \bar{z}) \phi_{-1/5}(w, \bar{w}) \rangle = |z-w|^{4/5}.$$

For  $M(3,4)$ , the extra unphysical state is

$$|\chi\rangle = \left( L_{-2}^3 + \frac{93}{64} L_{-3}^2 - \frac{33}{8} L_{-4} L_{-2} - \frac{3}{16} L_{-6} \right) |0\rangle.$$

A very tedious computation shows that

$$|\chi\rangle = 0 \Rightarrow |\phi_h\rangle \text{ must have } h = 0, \frac{1}{16} \text{ or } \frac{1}{2}.$$

$M(3,4)$  is identified with the thermodynamic limit of the Ising model at its critical temperature.  $\phi_{1/16} \equiv \sigma$ ,  $\phi_{1/2} \equiv \varepsilon$ .

$$\begin{aligned} \langle \sigma(z, \bar{z}) \sigma(w, \bar{w}) \rangle &= \frac{1}{|z-w|^{1/4}}, & \langle \varepsilon(z, \bar{z}) \varepsilon(w, \bar{w}) \rangle &= \frac{1}{|z-w|^2}. \\ \text{spin field} & & \text{energy density} & \end{aligned}$$

Ex:  $M(4,5) \sim$  tricritical Ising  $\quad \parallel \quad$  All minimal models have lattice versions  
 $M(5,6) \sim$  3-state Potts  $\quad \parallel \quad$  as RSOS models.

The Ising model  $M(3,4)$  has  $c = \frac{1}{2}$  and conformal vacua of energies  $0, \frac{1}{16}(\sigma)$  and  $\frac{1}{2}(\epsilon)$ .

The free fermion has  $c = \frac{1}{2}$  and free fermion vacua of energies  $0$  (NS) and  $\frac{1}{2}$  (R).

|                                                                          |                                                                                        |                                                                                              |                                                                         |
|--------------------------------------------------------------------------|----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|
| $\vdots$<br>$L_{-3} 0\rangle$<br>$ 1\rangle = L_{-2} 0\rangle$           | $\vdots$<br>$L_{-3} \sigma\rangle$<br>$L_{-2} \sigma\rangle$<br>$L_{-1} \sigma\rangle$ | $\vdots$<br>$L_{-3} \epsilon\rangle$<br>$L_{-2} \epsilon\rangle$<br>$L_{-1} \epsilon\rangle$ | Ising model                                                             |
| $E=0$ $ 0\rangle$                                                        | $E=\frac{1}{16}$ $ \sigma\rangle$                                                      | $E=\frac{1}{2}$ $ \epsilon\rangle$                                                           |                                                                         |
| $\vdots$<br>$b_{-5/2}b_{-1/2} NS\rangle$<br>$b_{-3/2}b_{-1/2} NS\rangle$ | $\vdots$<br>$b_{-5/2} NS\rangle$<br>$b_{-3/2} NS\rangle$<br>$b_{-1/2} NS\rangle$       | $\vdots$<br>$b_{-3}b_0 R\rangle$<br>$b_{-2}b_0 R\rangle$<br>$b_{-1}b_0 R\rangle$             | $\vdots$<br>$b_{-3} R\rangle$<br>$b_{-2} R\rangle$<br>$b_{-1} R\rangle$ |
| $E=0$ $ NS\rangle$                                                       | $E=\frac{1}{2}$                                                                        | $E=\frac{1}{16}$ $ R\rangle$                                                                 | $b_0 R\rangle$                                                          |
| bos.                                                                     | ferm.                                                                                  | bos.                                                                                         | ferm.                                                                   |

We can even match up states (up to constants):

|          |              |                              |         |                      |                          |         |                  |                        |         |                |
|----------|--------------|------------------------------|---------|----------------------|--------------------------|---------|------------------|------------------------|---------|----------------|
| $M(3,4)$ | $ 0\rangle$  | $L_{-2} 0\rangle$            | $\dots$ | $ \epsilon\rangle$   | $L_{-1} \epsilon\rangle$ | $\dots$ | $ \sigma\rangle$ | $L_{-1} \sigma\rangle$ | $\dots$ | ?              |
| FF       | $ NS\rangle$ | $b_{-3/2}b_{-1/2} NS\rangle$ | $\dots$ | $b_{-1/2} NS\rangle$ | $b_{-3/2} NS\rangle$     | $\dots$ | $ R\rangle$      | $b_{-1}b_0 R\rangle$   | $\dots$ | $b_0 R\rangle$ |

Everything matches except the fermionic states in the Ramond Fock space.

This is accounted for by the disorder operator  $|\mu\rangle \leftrightarrow b_0|R\rangle$ .

We do not ~~see~~ <sup>notice</sup> it in  $M(3,4)$  as it is indistinguishable from  $|\sigma\rangle$  as  $M(3,4)$  has no parity.

Conclusion: The minimal model  $M(3,4)$  and the free fermion are (nearly) equivalent as CFTs. In fact, this equivalence persists off-criticality which is why Onsager was able to exactly solve the Ising model on the lattice in 1944.